



上海交通大学

SHANGHAI JIAO TONG UNIVERSITY



上海交通大学

约翰·霍普克罗夫特  
计算机科学中心

John Hopcroft Center for Computer Science

# CS 445: Combinatorics

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<https://shuaili8.github.io>

<https://shuaili8.github.io/Teaching/CS445/index.html>

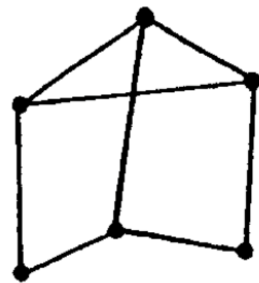
# Basics

# Graphs

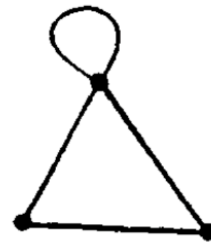
- Definition A graph  $G$  is a pair  $(V, E)$ 
  - $V$ : set of vertices
  - $E$ : set of edges
  - $e \in E$  corresponds to a pair of endpoints  $x, y \in V$

We mainly focus on  
Simple graph:  
No loops, no multi-edges

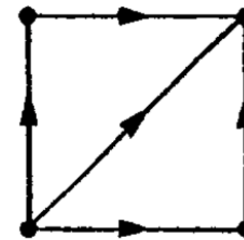
edge	ends
$a$	$x, z$
$b$	$y, w$
$c$	$x, z$
$d$	$z, w$
$e$	$z, w$
$f$	$x, y$
$g$	$z, w$



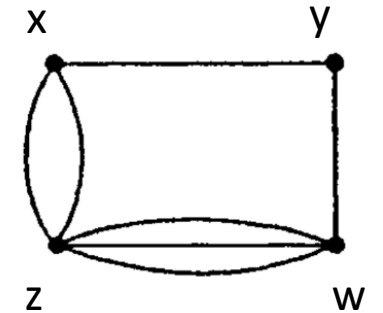
(i) graph



(ii) graph with loop



(iii) digraph



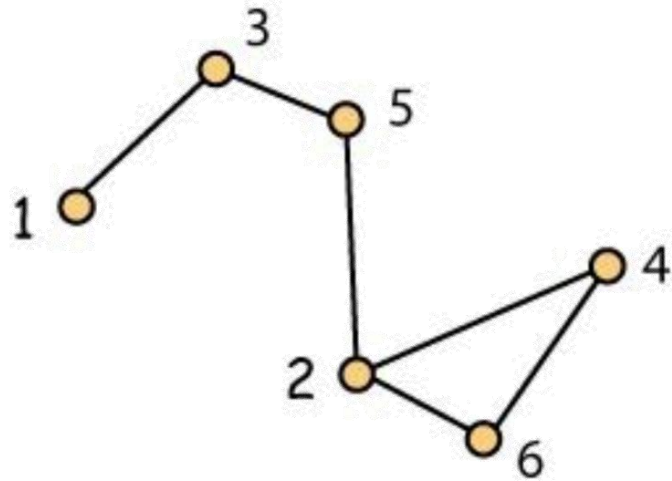
(iv) multiple edges

Figure 1.2

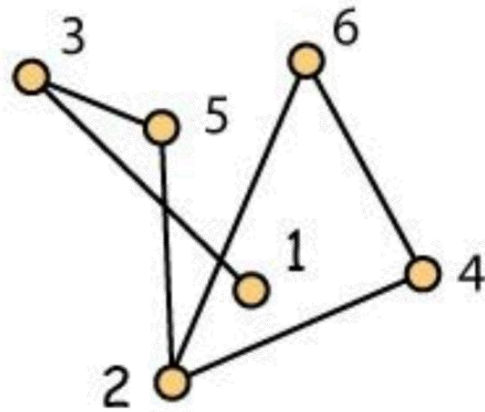
Figure 1.1

# Graphs: All about adjacency

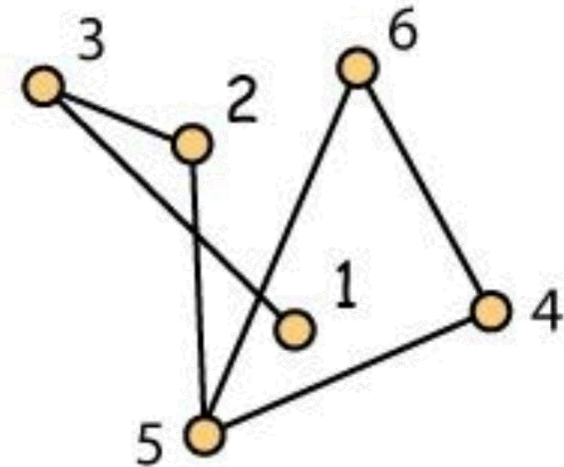
- Same graph or not



(a)



(b)

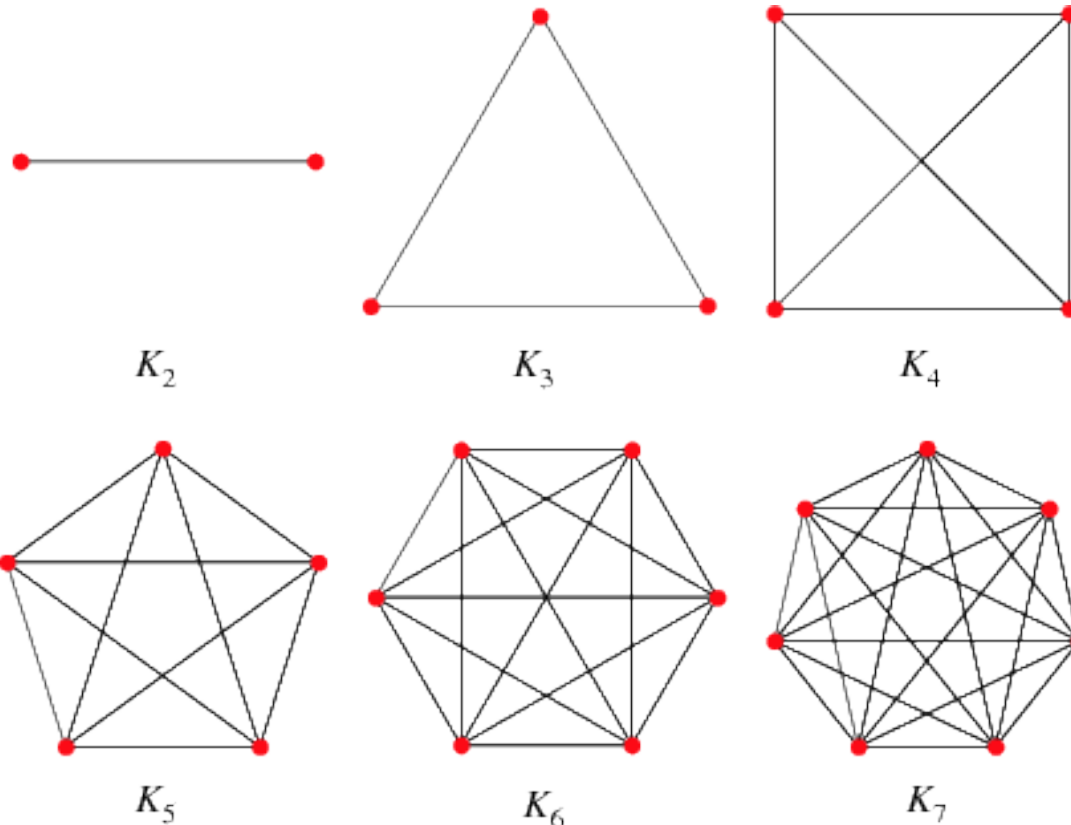


(c)

- Two graphs  $G_1 = (V_1, E_1)$ ,  $G_2 = (V_2, E_2)$  are isomorphic if there is a bijection  $f: V_1 \rightarrow V_2$  s.t.  
$$e = \{a, b\} \in E_1 \iff f(e) := \{f(a), f(b)\} \in E_2$$

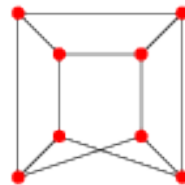
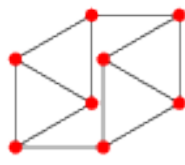
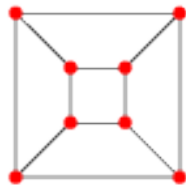
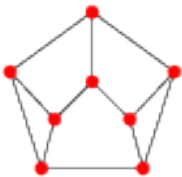
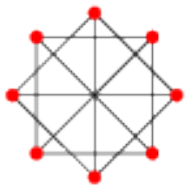
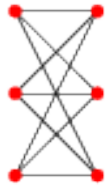
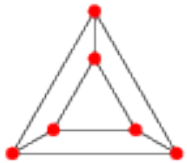
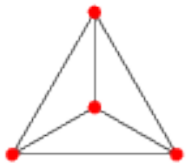
# Example: Complete graphs

- There is an edge between every pair of vertices



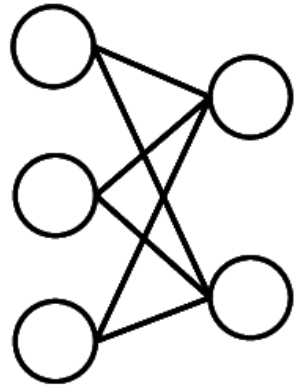
# Example: Regular graphs

- Every vertex has the same degree

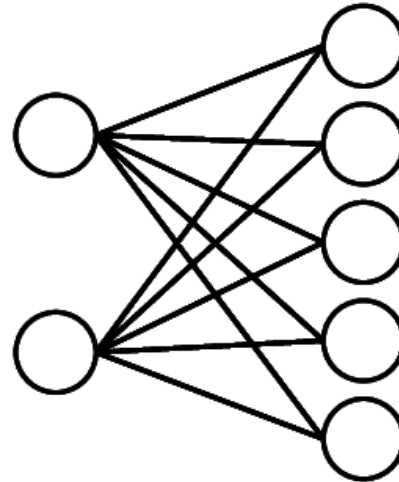



# Example: Bipartite graphs

- The vertex set can be partitioned into two sets  $X$  and  $Y$  such that every edge in  $G$  has one end vertex in  $X$  and the other in  $Y$
- Complete bipartite graphs



$K_{3,2}$



$K_{2,5}$

# Example (1A, L): Peterson graph

- Show that the following two graphs are same/isomorphic

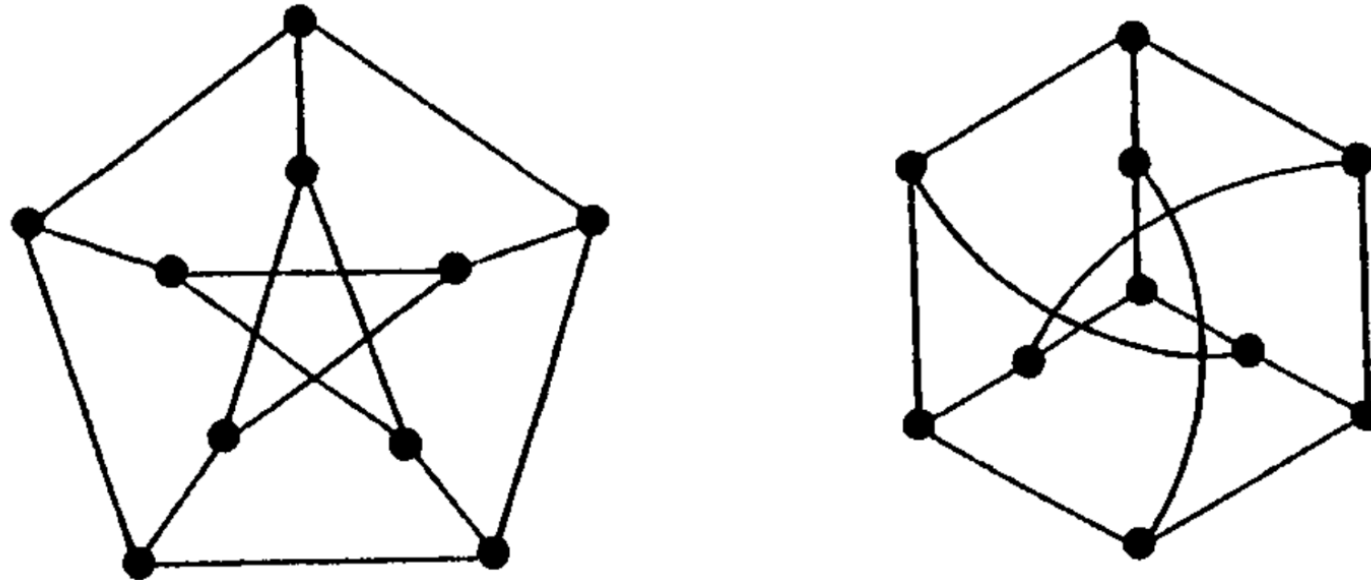
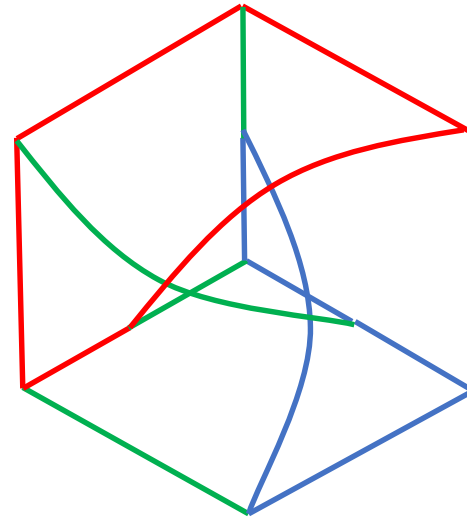
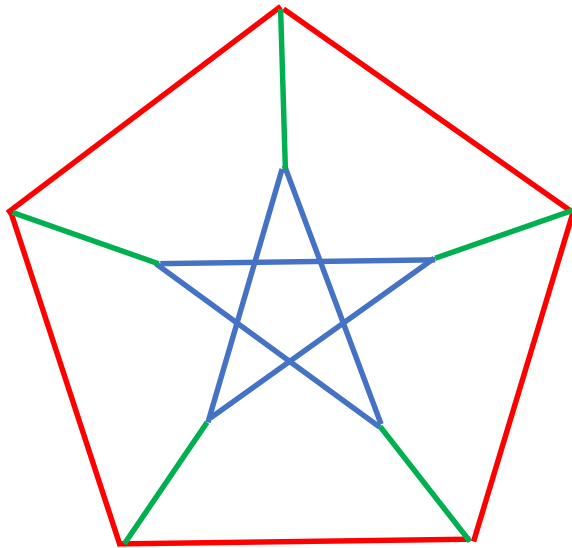


Figure 1.4



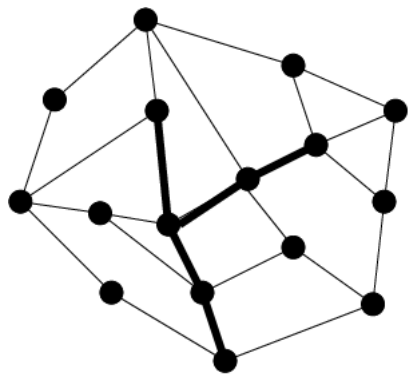
# Example: Peterson graph (cont.)

- Show that the following two graphs are same/isomorphic

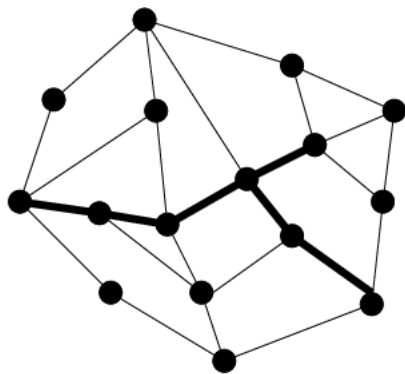


# Subgraphs

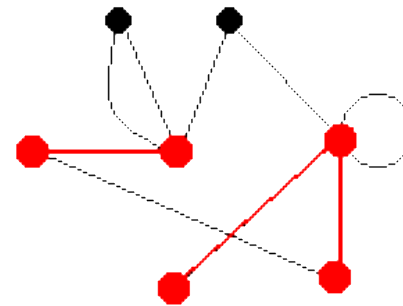
- A subgraph of a graph  $G$  is a graph  $H$  such that
$$V(H) \subseteq V(G), E(H) \subseteq E(G)$$
and the ends of an edge  $e \in E(H)$  are the same as its ends in  $G$ 
  - $H$  is a spanning subgraph when  $V(H) = V(G)$
  - The subgraph of  $G$  **induced** by a subset  $S \subseteq V(G)$  is the subgraph whose vertex set is  $S$  and whose edges are all the edges of  $G$  with both ends in  $S$



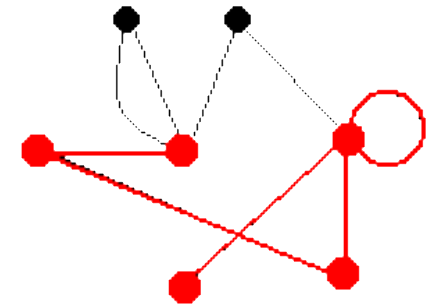
(a)



(b)



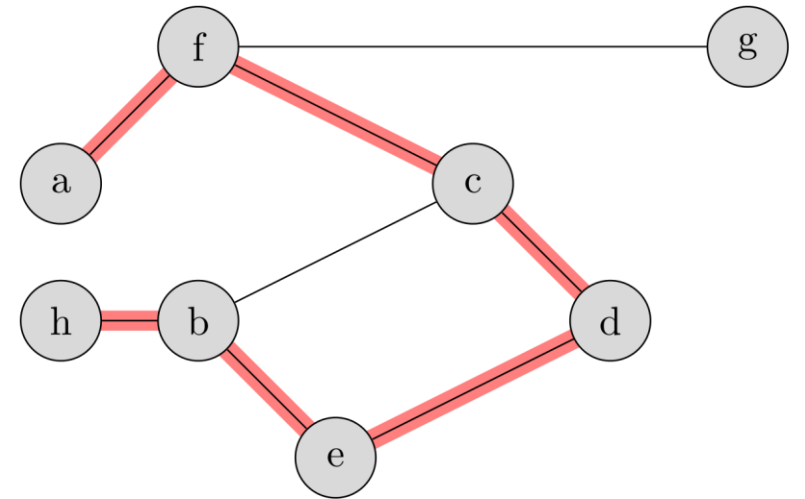
Subgraph (in red)



Induced Subgraph

# Paths (路径)

- A path is a non-empty alternating sequence  $v_0e_1v_1e_2 \dots e_kv_k$  where vertices are all **distinct**
  - Or it can be written as  $v_0v_1 \dots v_k$  in simple graphs
- $P^k$ : path of length  $k$  (the number of edges)

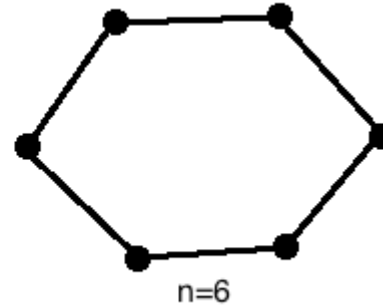
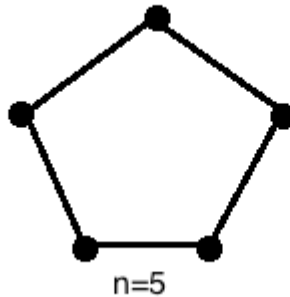
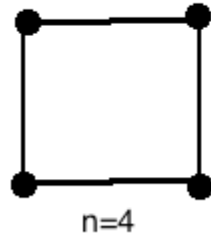


# Walk (游走)

- A walk is a non-empty alternating sequence  $v_0 e_1 v_1 e_2 \dots e_k v_k$ 
  - The vertices not necessarily distinct
  - The length = the number of edges
- Proposition (1.2.5, W) Every  $u$ - $v$  walk contains a  $u$ - $v$  path

# Cycles (环)

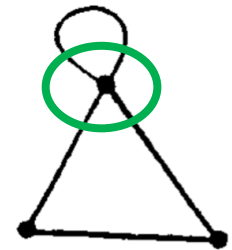
- If  $P = x_0x_1 \dots x_{k-1}$  is a path and  $k \geq 3$ , then the graph  $C := P + x_{k-1}x_0$  is called a cycle
- $C^k$ : cycle of length  $k$  (the number of edges/vertices)



- Proposition (1.2.15, W) Every closed odd walk contains an odd cycle

# Neighbors and degree

- Two vertices  $a \neq b$  are called adjacent if they are joined by an edge
  - $N(x)$ : set of all vertices adjacent to  $x$ 
    - neighbors of  $x$
  - A vertex is isolated vertex if it has no neighbors
- The number of edges incident with a vertex  $x$  is called the degree of  $x$ 
  - A loop contributes 2 to the degree

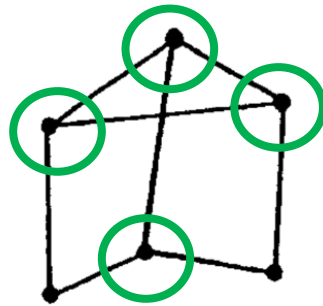


graph with loop

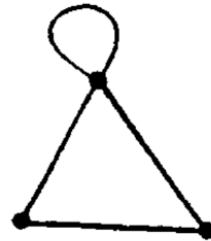
- A graph is finite when both  $E(G)$  and  $V(G)$  are finite sets

# Handshaking Theorem (Euler 1736)

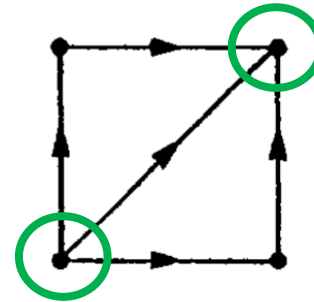
- Theorem A finite graph  $G$  has an even number of vertices with odd degree



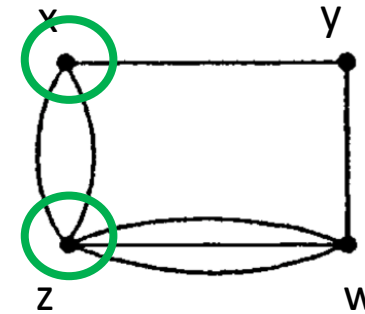
(i) graph



(ii) graph with loop



(iii) digraph



(iv) multiple edges

Figure 1.2

# Proof

- Theorem A finite graph  $G$  has an even number of vertices with odd degree.
- Proof The degree of  $x$  is the number of times it appears in the right column. Thus

$$\sum_{x \in V(G)} \deg(x) = 2|E(G)|$$

edge	ends
$a$	$x, z$
$b$	$y, w$
$c$	$x, z$
$d$	$z, w$
$e$	$z, w$
$f$	$x, y$
$g$	$z, w$

Figure 1.1



# Degree

- **Minimal** degree of  $G$ :  $\delta(G) = \min\{d(v) : v \in V\}$
- **Maximal** degree of  $G$ :  $\Delta(G) = \max\{d(v) : v \in V\}$
- **Average** degree of  $G$ :  $d(G) = \frac{1}{|V|} \sum_{v \in V} d(v) = \frac{2|E|}{|V|}$
- All measure the 'density' of a graph
- $d(G) \geq \delta(G)$

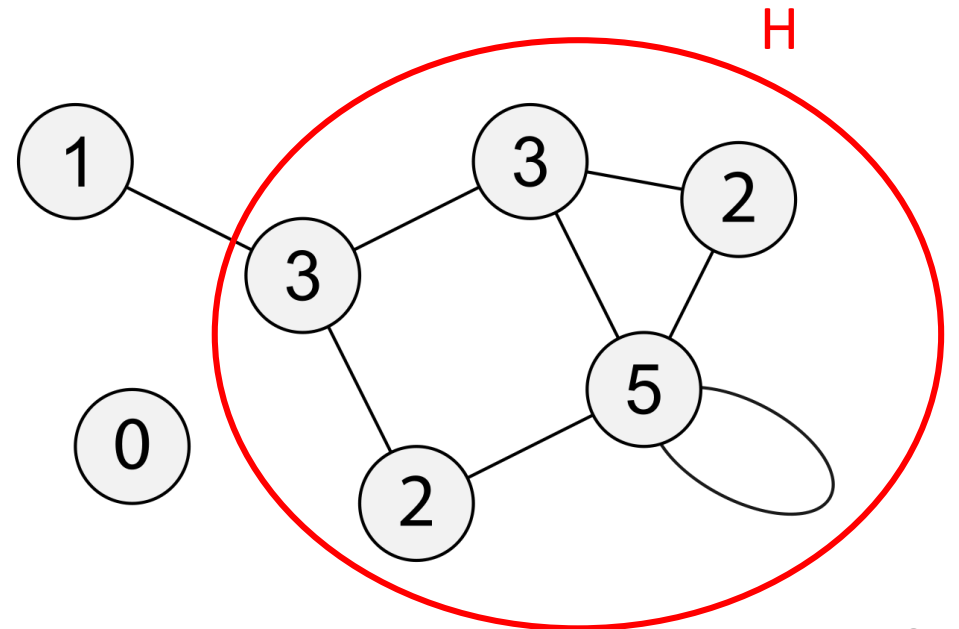
# Degree (global to local)

- Proposition (1.2.2, D) Every graph  $G$  with at least one edge has a subgraph  $H$  with

$$\delta(H) > \frac{1}{2} d(H) \geq \frac{1}{2} d(G)$$

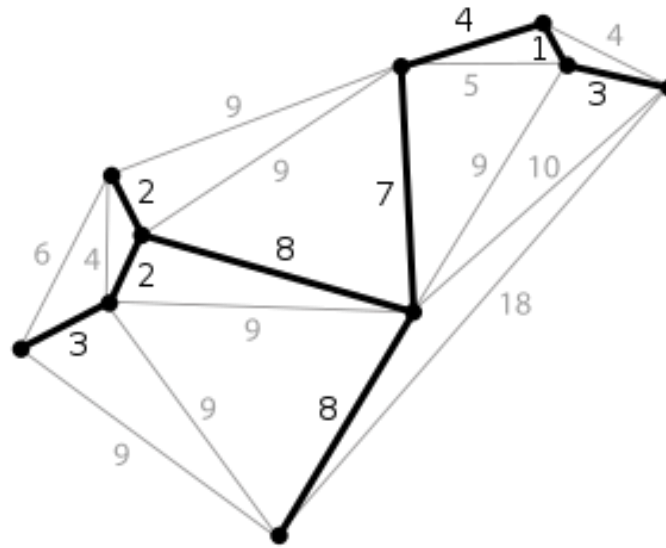
- Example:  $|G| = 7, d(G) = \frac{16}{7}$

- $\delta(H) = 2, d(H) = \frac{14}{5}$



# Minimal degree guarantees long paths and cycles

- Proposition (1.3.1, D) Every graph  $G$  contains a path of length  $\delta(G)$  and a cycle of length at least  $\delta(G) + 1$ , provided  $\delta(G) \geq 2$ .



# Distance and diameter

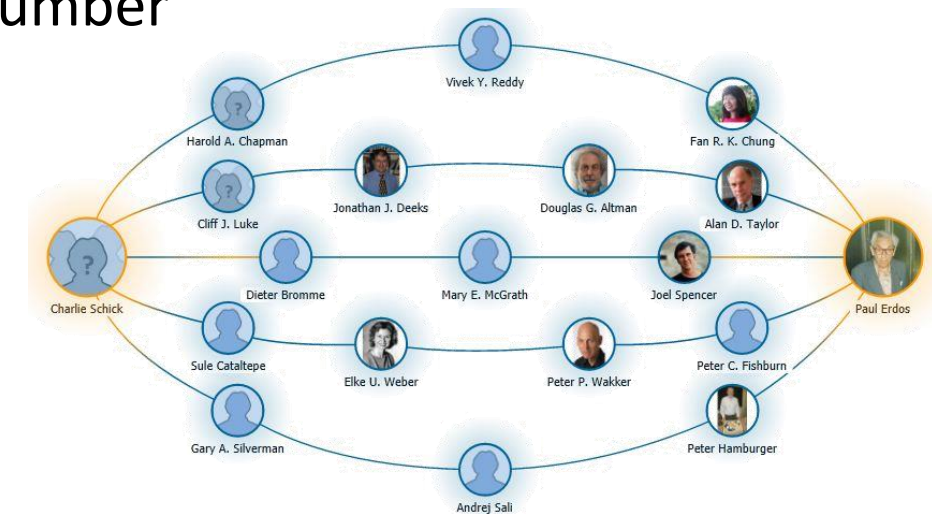
- The **distance**  $d_G(x, y)$  in  $G$  of two vertices  $x, y$  is the length of a shortest  $x \sim y$  path
  - if no such path exists, we set  $d(x, y) := \infty$
- The greatest distance between any two vertices in  $G$  is the **diameter** of  $G$

$$\text{diam}(G) = \max_{x, y \in V} d(x, y)$$

# Example -- Erdős number



- A well-known graph
  - vertices: mathematicians of the world
  - Two vertices are adjacent if and only if they have published a joint paper
  - The distance in this graph from some mathematician to the vertex Paul Erdős is known as his or her Erdős number

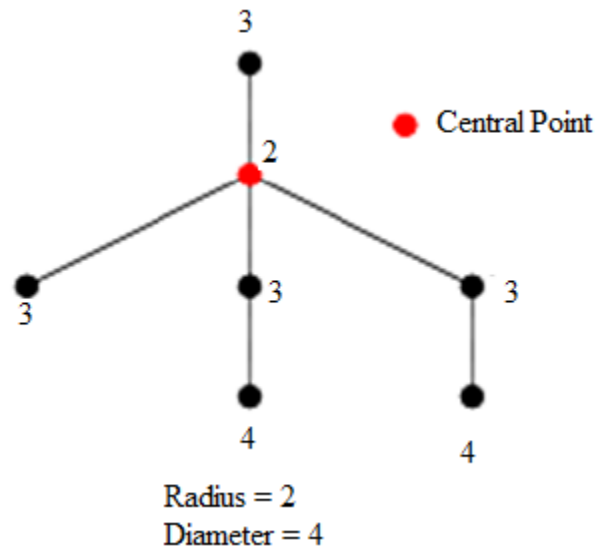


# Radius and diameter

- A vertex is **central** in  $G$  if its greatest distance from other vertex is smallest, such greatest distance is the **radius** of  $G$

$$\text{rad}(G) := \min_{x \in V} \max_{y \in V} d(x, y)$$

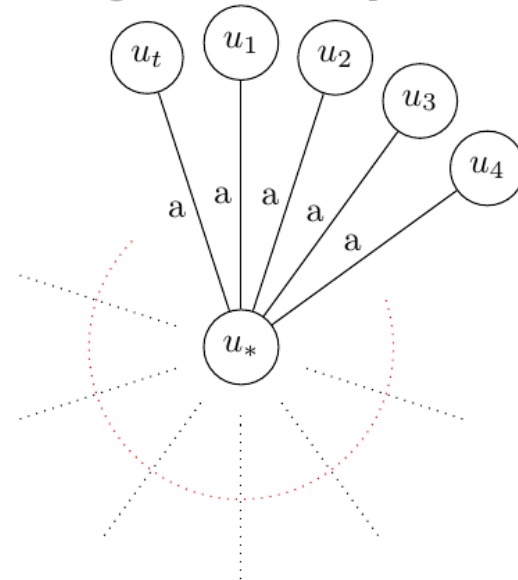
- Proposition (1.4, H; Ex1.6, D)  $\text{rad}(G) \leq \text{diam}(G) \leq 2 \text{rad}(G)$



# Radius and maximum degree control graph size

- Proposition (1.3.3, D) A graph  $G$  with radius at most  $r$  and maximum degree at most  $\Delta \geq 3$  has fewer than  $\frac{\Delta}{\Delta-2} (\Delta - 1)^r$ .

Figure 1: Star Graph



# Lecture 2: Girth, Connectivity and Bipartite Graphs

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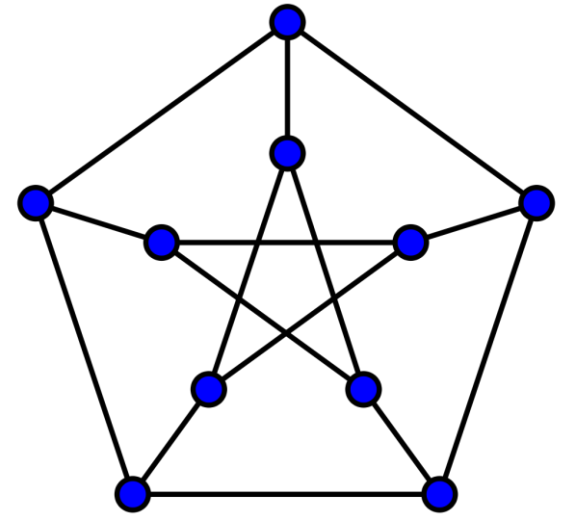
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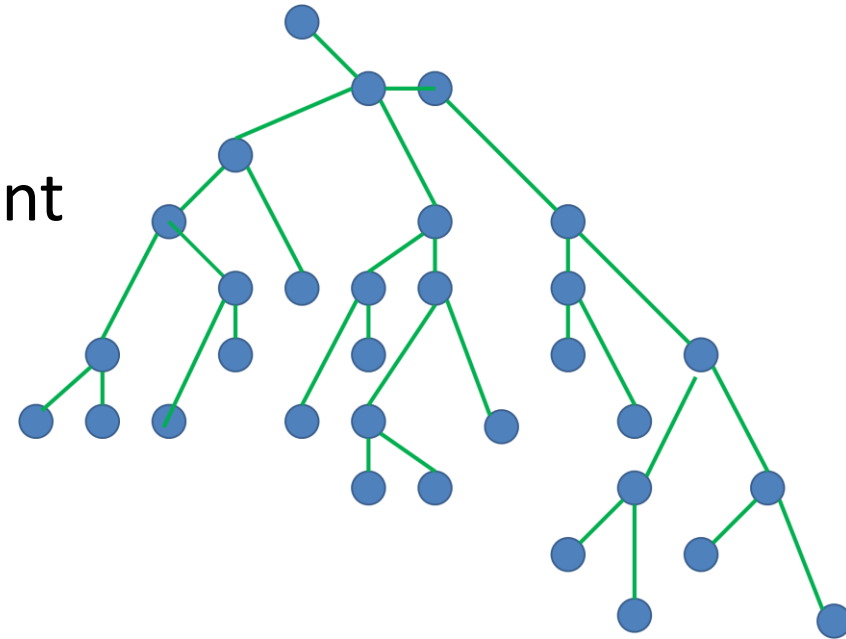
# Girth

- The minimum length of a cycle in a graph  $G$  is the **girth**  $g(G)$  of  $G$
- Example: The Peterson graph is the unique **5-cage**
  - cubic graph (every vertex has degree 3)
  - girth = **5**
  - smallest graph satisfies the above properties



# Girth (cont.)

- A tree has girth  $\infty$
- Note that a tree can be colored with two different colors
- $\Rightarrow$  A graph with large girth has small chromatic number?
- Unfortunately NO!
- Theorem (Erdős, 1959) For all  $k, l$ , there exists a graph  $G$  with  $g(G) > l$  and  $\chi(G) > k$



# Girth and diameter

- Proposition (1.3.2, D) Every graph  $G$  containing a cycle satisfies  $g(G) \leq 2 \operatorname{diam}(G) + 1$
- When the equality holds?

# Girth and minimal degree lower bounds graph size

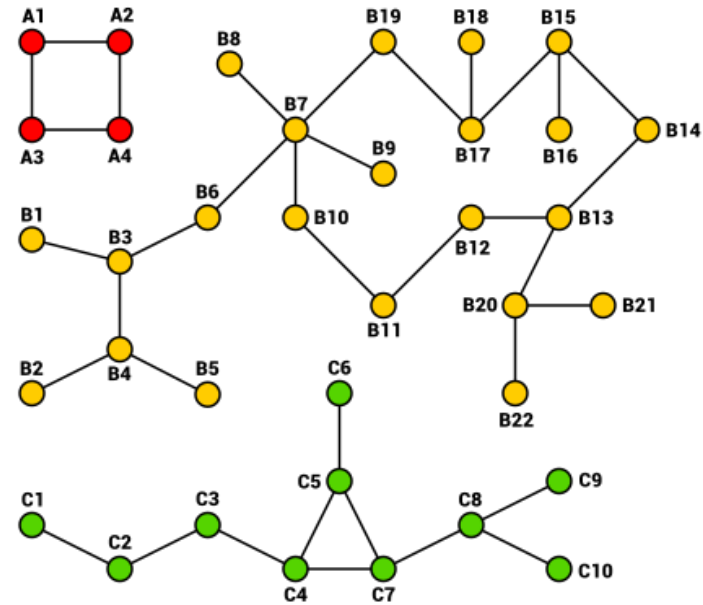
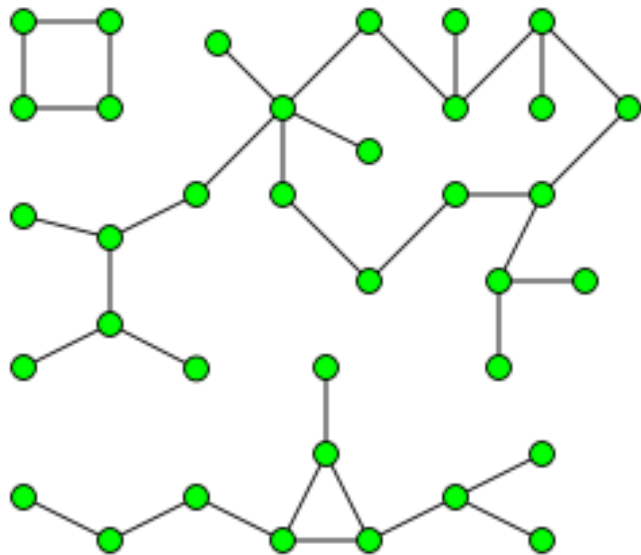
- $n_0(\delta, g) := \begin{cases} 1 + \delta \sum_{i=0}^{r-1} (\delta - 1)^i, & \text{if } g = 2r + 1 \text{ is odd} \\ 2 \sum_{i=0}^{r-1} (\delta - 1)^i, & \text{if } g = 2r \text{ is even} \end{cases}$
- Exercise (Ex7, ch1, D) Let  $G$  be a graph. If  $\delta(G) \geq \delta \geq 2$  and  $g(G) \geq g$ , then  $|G| \geq n_0(\delta, g)$
- Corollary (1.3.5, D) If  $\delta(G) \geq 3$ , then  $g(G) < 2 \log_2 |G|$

# Triangle-free upper bounds # of edges

- Theorem (1.3.23, W, Mantel 1907) The maximum number of edges in an  $n$ -vertex triangle-free simple graph is  $\lfloor n^2/4 \rfloor$
- The bound is best possible
- There is a triangle-free graph with  $\lfloor n^2/4 \rfloor$  edges:  $K_{\lfloor n/2 \rfloor, \lfloor n/2 \rfloor}$
- Extremal problems

# Connected, connected component

- A graph  $G$  is connected if  $G \neq \emptyset$  and any two of its vertices are linked by a path
- A maximal connected subgraph of  $G$  is a (connected) component



# Quiz

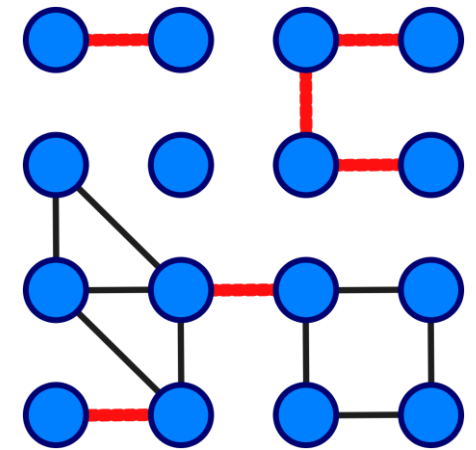
- Problem (1B, L) Suppose  $G$  is a graph on 10 vertices that is not connected. Prove that  $G$  has at most 36 edges. Can equality occur?
- More general (Ex9, S1.1.2, H) Let  $G$  be a graph of order  $n$  that is not connected. What is the maximum size of  $G$ ?

# Connected vs. minimal degree

- Proposition (1.3.15, W) If  $\delta(G) \geq \frac{n-1}{2}$ , then  $G$  is connected
- (Ex16, S1.1.2, H; 1.3.16, W)  
If  $\delta(G) \geq \frac{n-2}{2}$ , then  $G$  need not be connected
- Extremal problems
- “best possible” “sharp”



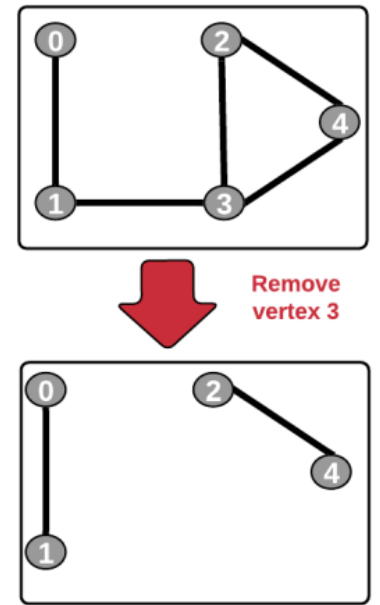
# Add/delete an edge



- Components are pairwise disjoint; no two share a vertex
- Adding an edge decreases the number of components by 0 or 1
  - $\Rightarrow$  deleting an edge increases the number of components by 0 or 1
- Proposition (1.2.11, W)  
Every graph with  $n$  vertices and  $k$  edges has at least  $n - k$  components
- An edge  $e$  is called a **bridge** if the graph  $G - e$  has more components
- Proposition (1.2.14, W)  
An edge  $e$  is a bridge  $\iff e$  lies on no cycle of  $G$ 
  - Or equivalently, an edge  $e$  is not a bridge  $\iff e$  lies on a cycle of  $G$

# Cut vertex and connectivity

- A node  $v$  is a **cut vertex** if the graph  $G - v$  has more components
- A proper subset  $S$  of vertices is a **vertex cut set** if the graph  $G - S$  is disconnected, or trivial (a graph of order 0 or 1)
- The **connectivity**,  $\kappa(G)$ , is the minimum size of a cut set of  $G$ 
  - The graph is  $k$ -connected for any  $k \leq \kappa(G)$



# Connectivity properties

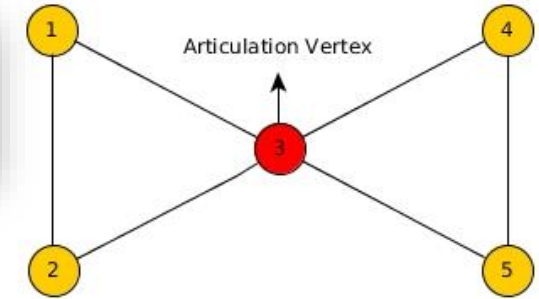
- $\kappa(K^n) = n - 1$
- If  $G$  is disconnected,  $\kappa(G) = 0$ 
  - $\Rightarrow$  A graph is connected  $\Leftrightarrow \kappa(G) \geq 1$
- If  $G$  is connected, non-complete graph of order  $n$ , then
$$1 \leq \kappa(G) \leq n - 2$$

# Connectivity properties (cont.)

**Proposition** (1.2.14, W)

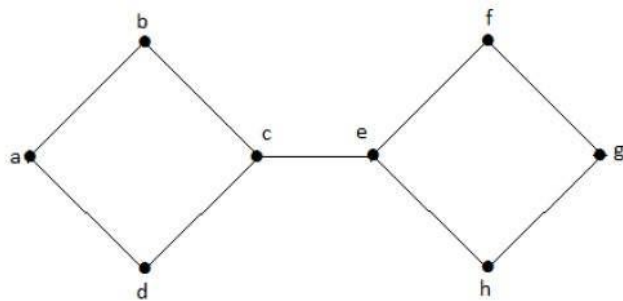
An edge  $e$  is a bridge  $\Leftrightarrow e$  lies on no cycle of  $G$

- Or equivalently, an edge  $e$  is not a bridge  $\Leftrightarrow e$  lies on a cycle of  $G$



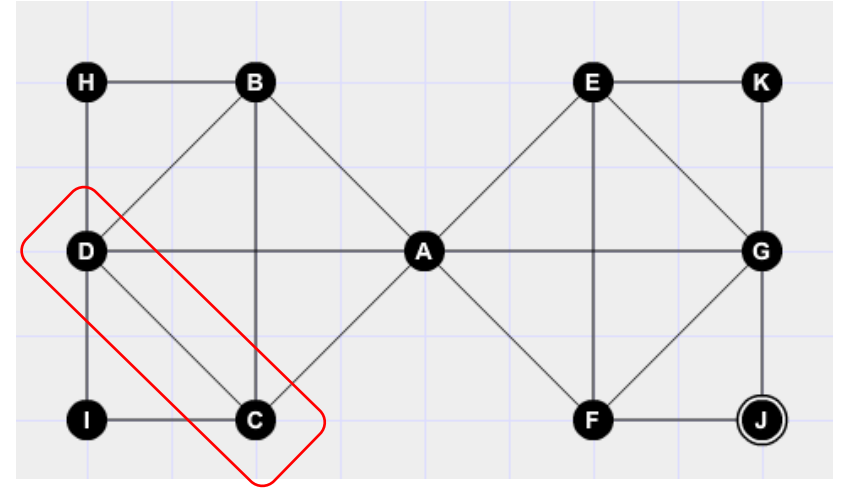
- $\kappa(G) \geq 2 \Leftrightarrow G$  is connected and has no cut vertices
- A vertex lies on a cycle  $\nRightarrow$  it is not a cut vertex
  - $\Rightarrow$  (Ex13, S1.1.2, H) Every vertex of a connected graph  $G$  lies on at least one cycle  $\nRightarrow \kappa(G) \geq 2$
  - (Ex14, S1.1.2, H)  $\kappa(G) \geq 2$  implies  $G$  has at least one cycle

- (Ex12, S1.1.2, H)  $G$  has a cut vertex vs.  $G$  has a bridge



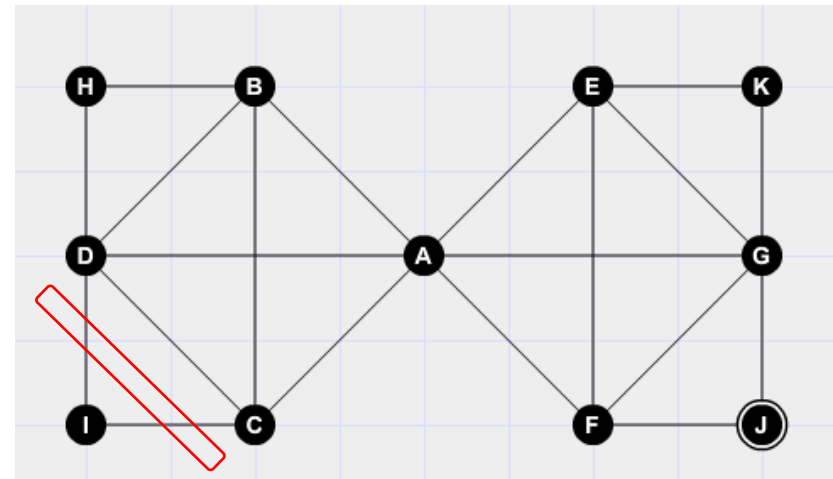
# Connectivity and minimal degree

- (Ex15, S1.1.2, H)
- $\kappa(G) \leq \delta(G)$
- If  $\delta(G) \geq n - 2$ , then  $\kappa(G) = \delta(G)$



# Edge-connectivity

- A proper subset  $F \subset E$  is edge cut set if the graph  $G - F$  is disconnected
- The **edge-connectivity**  $\lambda(G)$  is the minimal size of edge cut set
- $\lambda(G) = 0$  if  $G$  is disconnected
- **Proposition** (1.4.2, D) If  $G$  is non-trivial, then  $\kappa(G) \leq \lambda(G) \leq \delta(G)$

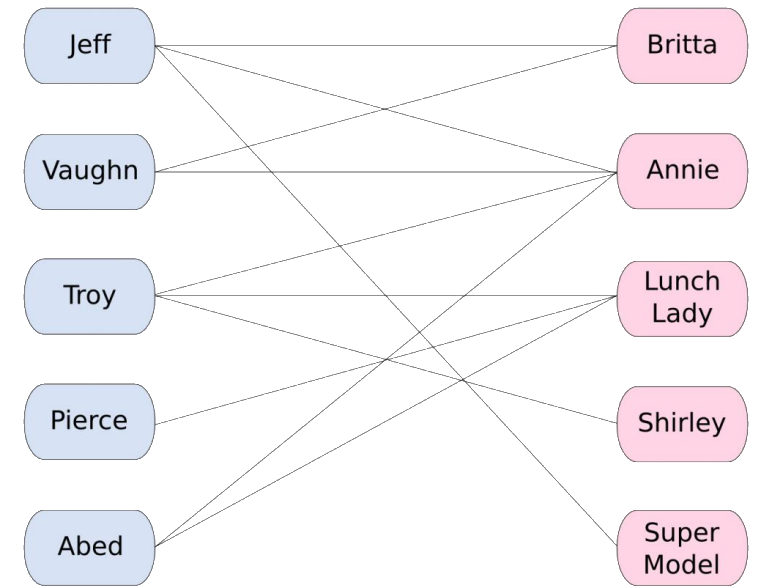


# Large average (minimal) degree implies local large connectivity

- Theorem (1.4.3, D, Mader 1972) Every graph  $G$  with  $d(G) \geq 4k$  has a  $(k + 1)$ -connected subgraph  $H$  such that  $d(H) > d(G) - 2k$ .

# Bipartite graphs

- Theorem (1.2.18, W, König 1936)  
A graph is bipartite  $\iff$  it contains no odd cycle

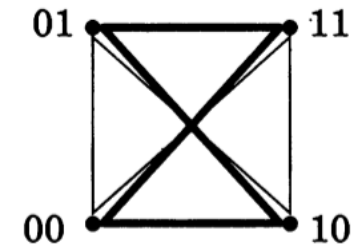


**Proposition** (1.2.15, W) Every closed odd walk contains an odd cycle



# Complete graph is a union of bipartite graphs

- The union of graphs  $G_1, \dots, G_k$ , written  $G_1 \cup \dots \cup G_k$ , is the graph with vertex set  $\bigcup_{i=1}^k V(G_i)$  and edge set  $\bigcup_{i=1}^k E(G_i)$
- Consider an air traffic system with  $k$  airlines
  - Each pair of cities has direct service from at least one airline
  - No airline can schedule a cycle through an odd number of cities
  - Then, what is the maximum number of cities in the system?
- Theorem (1.2.23, W) The complete graph  $K_n$  can be expressed as the union of  $k$  bipartite graphs  $\Leftrightarrow n \leq 2^k$



# Bipartite subgraph is large

- Theorem (1.3.19, W) Every loopless graph  $G$  has a bipartite subgraph with at least  $|E|/2$  edges

# Lecture 3: Trees

Shuai Li

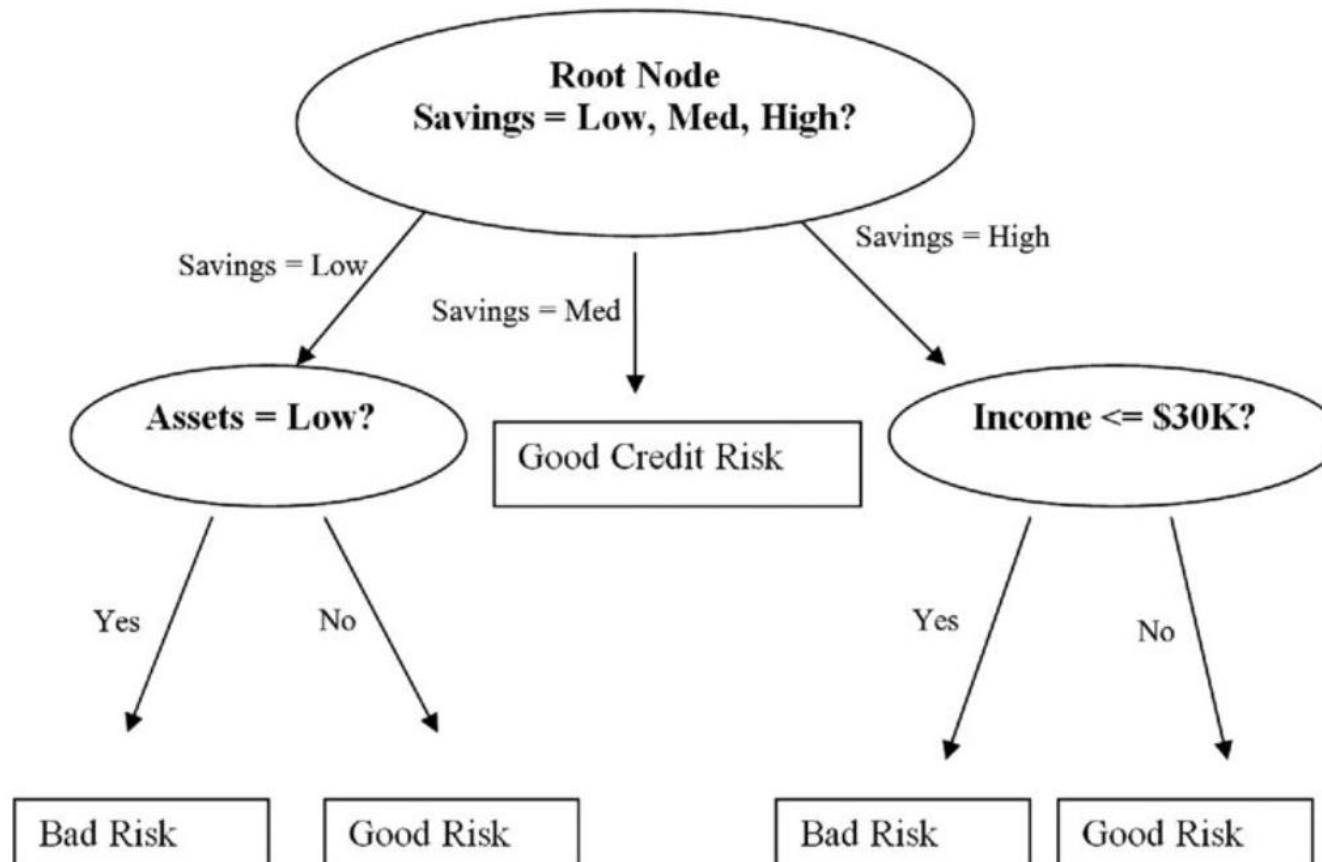
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<https://shuaili8.github.io/Teaching/CS445/index.html>

# Trees

- A **tree** is a connected graph  $T$  with no cycles



# Properties

- Recall that **Theorem** (1.2.18, W, Kőnig 1936)  
A graph is bipartite  $\Leftrightarrow$  it contains no odd cycle
- $\Rightarrow$  (Ex 3, S1.3.1, H) A tree of order  $n \geq 2$  is a bipartite graph

- Recall that **Proposition** (1.2.14, W)  
An edge  $e$  is a bridge  $\Leftrightarrow e$  lies on no cycle of  $G$ 
  - Or equivalently, an edge  $e$  is not a bridge  $\Leftrightarrow e$  lies on a cycle of  $G$
- $\Rightarrow$  Every edge in a tree is a bridge
- $T$  is a tree  $\Leftrightarrow T$  is minimally connected, i.e.  $T$  is connected but  $T - e$  is disconnected for every edge  $e \in T$

# Equivalent definitions (Theorem 1.5.1, D)

- $T$  is a tree of order  $n$ 
  - $\Leftrightarrow$  Any two vertices of  $T$  are linked by a unique path in  $T$
  - $\Leftrightarrow T$  is minimally connected
    - i.e.  $T$  is connected but  $T - e$  is disconnected for every edge  $e \in T$
  - $\Leftrightarrow T$  is maximally acyclic
    - i.e.  $T$  contains no cycle but  $T + xy$  does for any non-adjacent vertices  $x, y \in T$
  - $\Leftrightarrow$  (Theorem 1.10, 1.12, H)  $T$  is connected with  $n - 1$  edges
  - $\Leftrightarrow$  (Theorem 1.13, H)  $T$  is acyclic with  $n - 1$  edges

# Leaves of tree

- A vertex of degree 1 in a tree is called a **leaf**
- Theorem (1.14, H; Ex9, S1.3.2, H) Let  $T$  be a tree of order  $n \geq 2$ . Then  $T$  has at least two leaves
- (Ex3, S1.3.2, H) Let  $T$  be a tree with max degree  $\Delta$ . Then  $T$  has at least  $\Delta$  leaves
- (Ex10, S1.3.2, H) Let  $T$  be a tree of order  $n \geq 2$ . Then the number of leaves is

$$2 + \sum_{v:d(v) \geq 3} (d(v) - 2)$$

- (Ex8, S1.3.2, H) Every nonleaf in a tree is a cut vertex
- Every leaf node is not a cut vertex

# The center of a tree is a vertex or 'an edge'

- Theorem (1.15, H) In any tree, the center is either a single vertex or a pair of adjacent vertices



# Any tree can be embedded in a 'dense' graph

- Theorem (1.16, H) Let  $T$  be a tree of order  $k + 1$  with  $k$  edges. Let  $G$  be a graph with  $\delta(G) \geq k$ . Then  $G$  contains  $T$  as a subgraph

# Spanning tree

- Given a graph  $G$  and a subgraph  $T$ ,  $T$  is a **spanning tree** of  $G$  if  $T$  is a tree that contains every vertex of  $G$
- Example: A telecommunications company tries to lay cable in a new neighbourhood
- Proposition (2.1.5c, W) Every connected graph contains a spanning tree

# Minimal spanning tree - Kruskal's Algorithm

- Given: A connected, weighted graph  $G$ 
  1. Find an edge of minimum weight and mark it.
  2. Among all of the unmarked edges that do not form a cycle with any of the marked edges, choose an edge of minimum weight and mark it
  3. If the set of marked edges forms a spanning tree of  $G$ , then stop. If not, repeat step 2

# Example

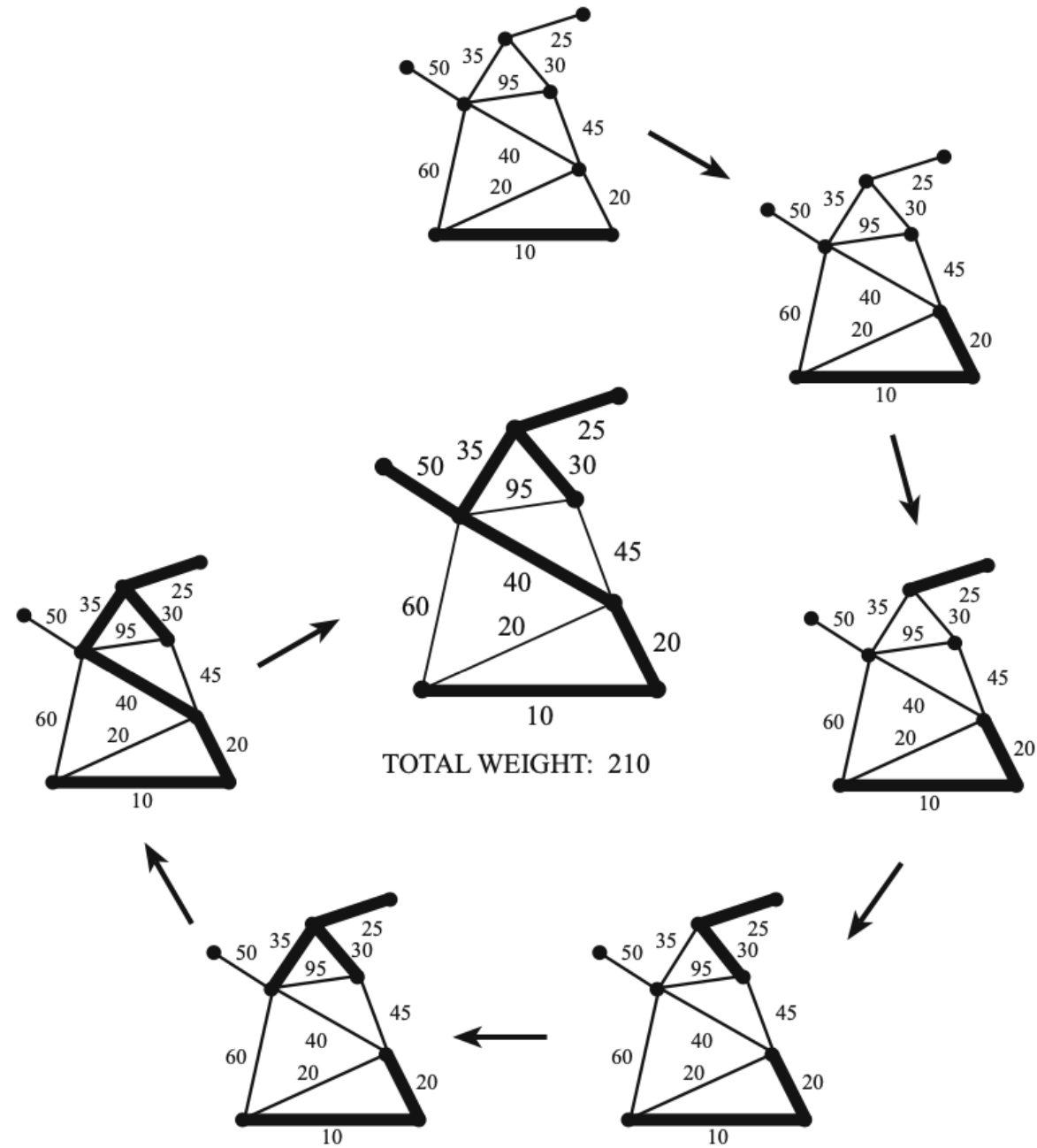


FIGURE 1.43. The stages of Kruskal's algorithm.

# Theoretical guarantee of Kruskal's algorithm

- **Theorem** (1.17, H) Kruskal's algorithm produces a spanning tree of minimum total weight

# Cayley's tree formula

- Theorem (1.18, H; 2.2.3, W). There are  $n^{n-2}$  distinct labeled trees of order  $n$

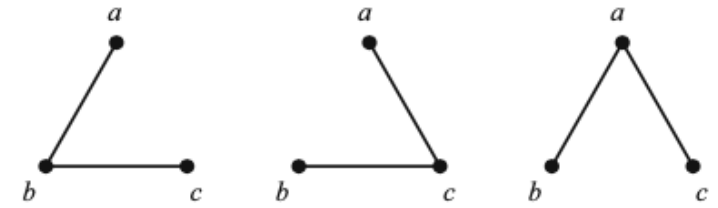
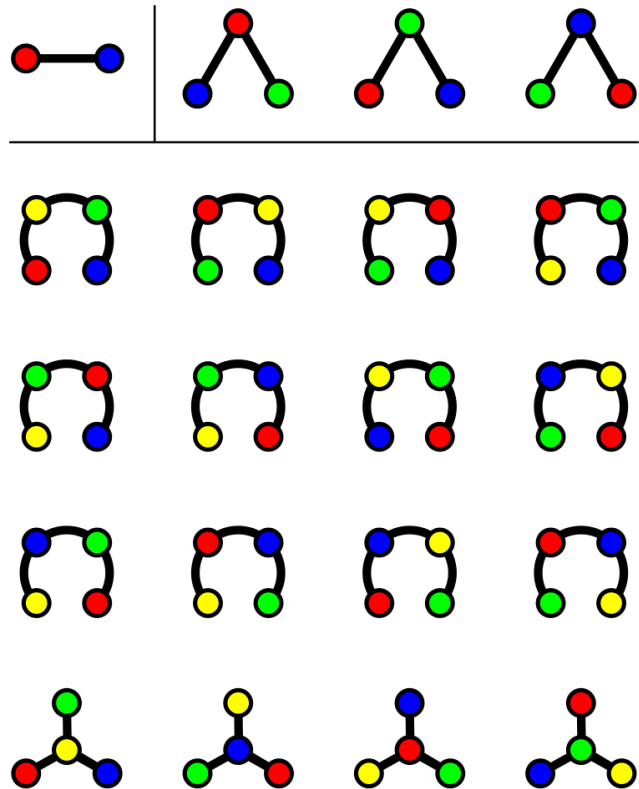


FIGURE 1.45. Labeled trees on three vertices.

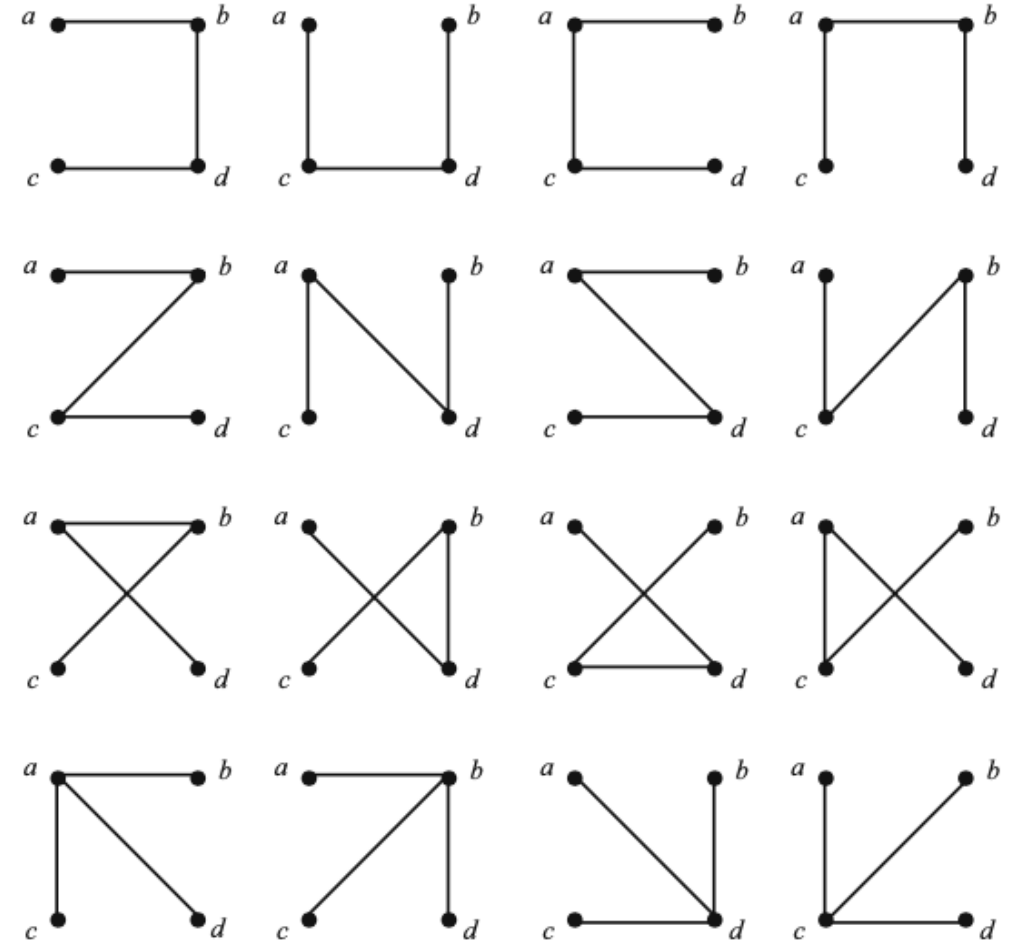


FIGURE 1.46. Labeled trees on four vertices.

# Example

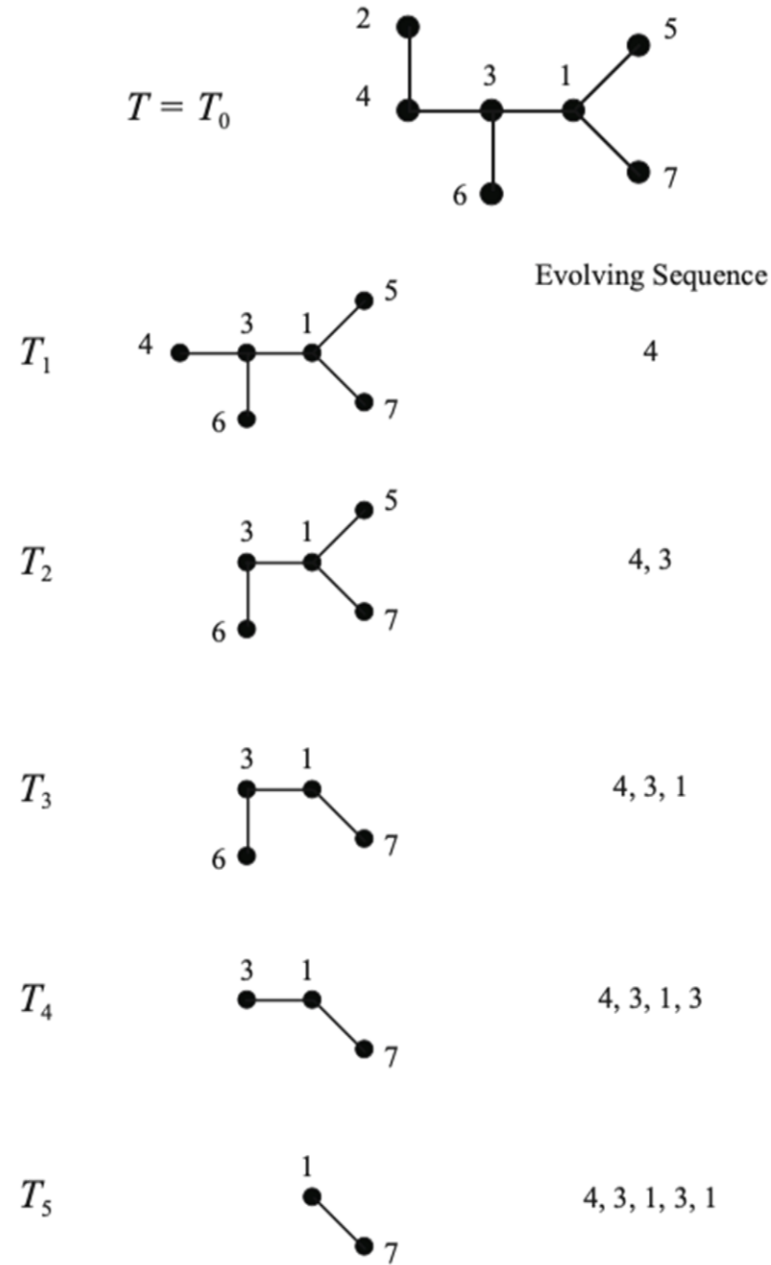


FIGURE 1.47. Creating a Prüfer sequence.

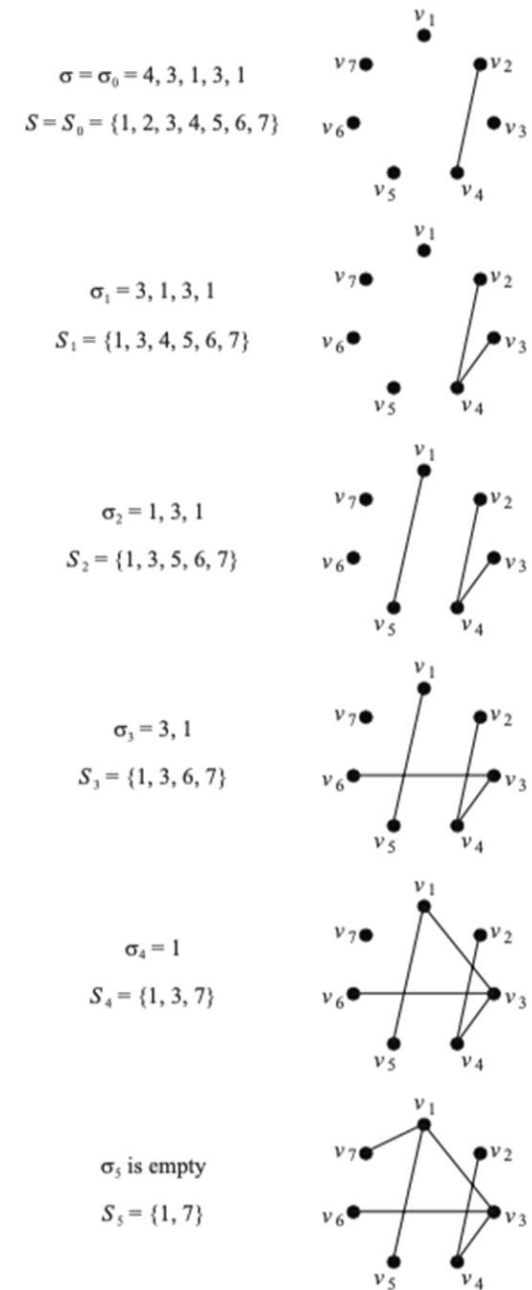
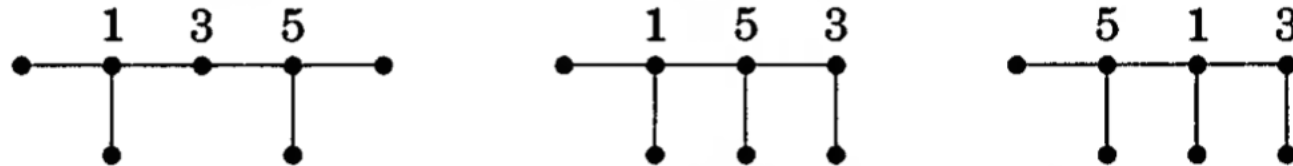


FIGURE 1.48. Building a labeled tree.

# # of trees with fixed degree sequence

- Corollary (2.2.4, W) Given positive integers  $d_1, \dots, d_n$  summing to  $2n - 2$ , there are exactly  $\frac{(n-2)!}{\prod(d_i-1)!}$  trees with vertex set  $[n]$  such that vertex  $i$  has degree  $d_i$  for each  $i$
- Example (2.2.5, W) Consider trees with vertices  $[7]$  that have degrees  $(3,1,2,1,3,1,1)$





# Matrix tree theorem - cofactor

- For an  $n \times n$  matrix  $A$ , the  $i, j$  cofactor of  $A$  is defined to be

$$(-1)^{i+j} \det(M_{ij})$$

where  $M_{ij}$  represents the  $(n - 1) \times (n - 1)$  matrix formed by deleting row  $i$  and column  $j$  from  $A$

**3 × 3 generic matrix** [\[ edit \]](#)

Consider a 3×3 matrix

$$\mathbf{A} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}.$$

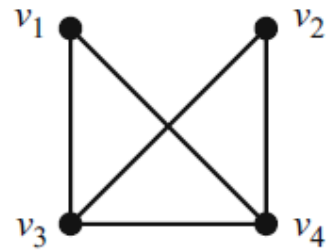
Its cofactor matrix is

$$\mathbf{C} = \begin{pmatrix} + \begin{vmatrix} a_{22} & a_{23} \\ a_{32} & a_{33} \end{vmatrix} & - \begin{vmatrix} a_{21} & a_{23} \\ a_{31} & a_{33} \end{vmatrix} & + \begin{vmatrix} a_{21} & a_{22} \\ a_{31} & a_{32} \end{vmatrix} \\ - \begin{vmatrix} a_{12} & a_{13} \\ a_{32} & a_{33} \end{vmatrix} & + \begin{vmatrix} a_{11} & a_{13} \\ a_{31} & a_{33} \end{vmatrix} & - \begin{vmatrix} a_{11} & a_{12} \\ a_{31} & a_{32} \end{vmatrix} \\ + \begin{vmatrix} a_{12} & a_{13} \\ a_{22} & a_{23} \end{vmatrix} & - \begin{vmatrix} a_{11} & a_{13} \\ a_{21} & a_{23} \end{vmatrix} & + \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} \end{pmatrix},$$

# Matrix tree theorem

- Theorem (1.19, H; 2.2.12, W; Kirchhoff) If  $G$  is a connected labeled graph with adjacency matrix  $A$  and degree matrix  $D$ , then the number of unique spanning trees of  $G$  is equal to the value of any cofactor of the matrix  $D - A$
- If the row sums and column sums of a matrix are all 0, then the cofactors all have the same value
- Exercise Read the proof
- **Exercise** (Ex7, S1.3.4, H) Use the matrix tree theorem to prove Cayley's theorem

# Example



The degree matrix  $D$  and adjacency matrix  $A$  are

$$D = \begin{bmatrix} 2 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix}, \quad A = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix},$$

and so

$$D - A = \begin{bmatrix} 2 & 0 & -1 & -1 \\ 0 & 2 & -1 & -1 \\ -1 & -1 & 3 & -1 \\ -1 & -1 & -1 & 3 \end{bmatrix}.$$

The (1, 1) cofactor of  $D - A$  is

$$\det \begin{bmatrix} 2 & -1 & -1 \\ -1 & 3 & -1 \\ -1 & -1 & 3 \end{bmatrix} = 8.$$

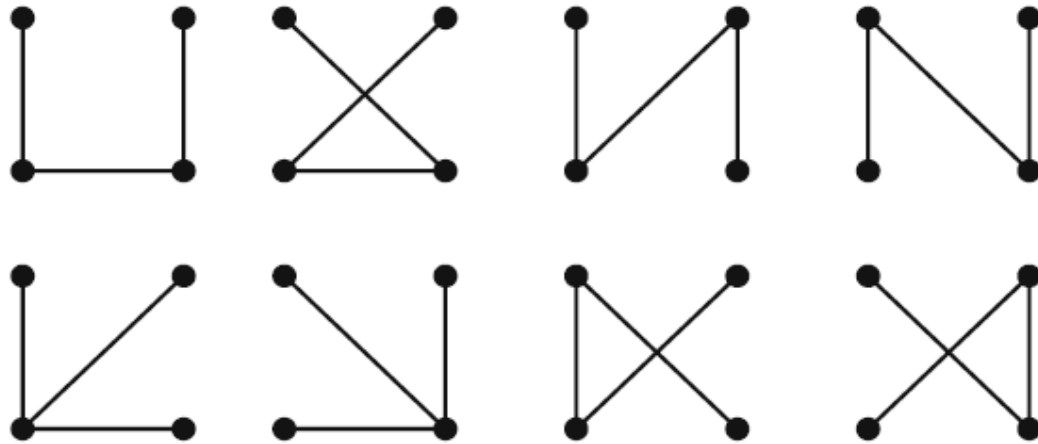


FIGURE 1.49. A labeled graph and its spanning trees.

Score one for Kirchhoff!

- **Exercise** (Ex6, S1.3.4, H) Let  $e$  be an edge of  $K_n$ . Use Cayley's Theorem to prove that  $K_n - e$  has  $(n - 2)n^{n-3}$  spanning trees

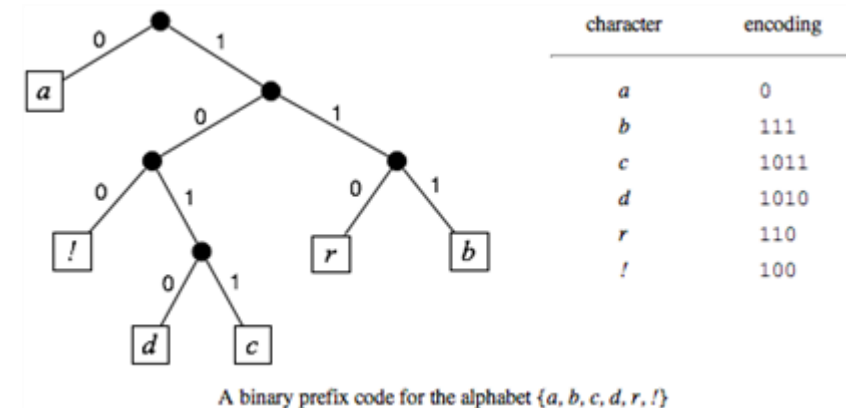
# Wiener index

- In a communication network, large diameter may be acceptable if most pairs can communicate via short paths. This leads us to study the average distance instead of the maximum
- Wiener index  $D(G) = \sum_{u,v \in V(G)} d_G(u, v)$
- Theorem (2.1.14, W) Among trees with  $n$  vertices, the Wiener index  $D(T)$  is minimized by stars and maximized by paths, both uniquely
- Over all connected  $n$ -vertex graphs,  $D(G)$  is minimized by  $K_n$  and maximized (2.1.16, W) by paths
  - (Lemma 2.1.15, W) If  $H$  is a subgraph of  $G$ , then  $d_G(u, v) \leq d_H(u, v)$

# Prefix coding

- A binary tree is a rooted plane tree where each vertex has at most two children
- Given large computer files and limited storage, we want to encode characters as binary lists to minimize (expected) total length
- Prefix-free coding: no code word is an initial portion of another

- Example: 11001111011

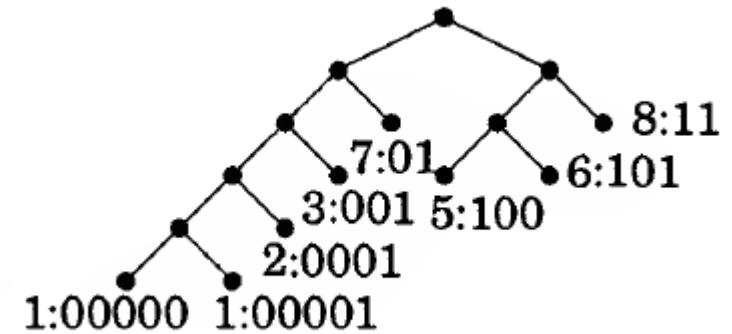


# Huffman's Algorithm (2.3.13, W)

- Input: Weights (frequencies or probabilities)  $p_1, \dots, p_n$
- Output: Prefix-free code (equivalently, a binary tree)
- Idea: Infrequent items should have longer codes; put infrequent items deeper by combining them into parent nodes.
- Recursion: replace the two least likely items with probabilities  $p, p'$  with a single item of weight  $p + p'$

# Example (2.3.14, W)

a	5	100
b	1	00000
c	1	00001
d	7	01
e	8	11
f	2	0001
g	3	001
h	6	101



The average length is  $\frac{5 \times 3 + 5 + 5 + 7 \times 2 + \dots}{33} = \frac{30}{11} < 3$

# Huffman coding is optimal

- Theorem (2.3.15, W) Given a probability distribution  $\{p_i\}$  on  $n$  items, Huffman's Algorithm produces the prefix-free code with minimum expected length

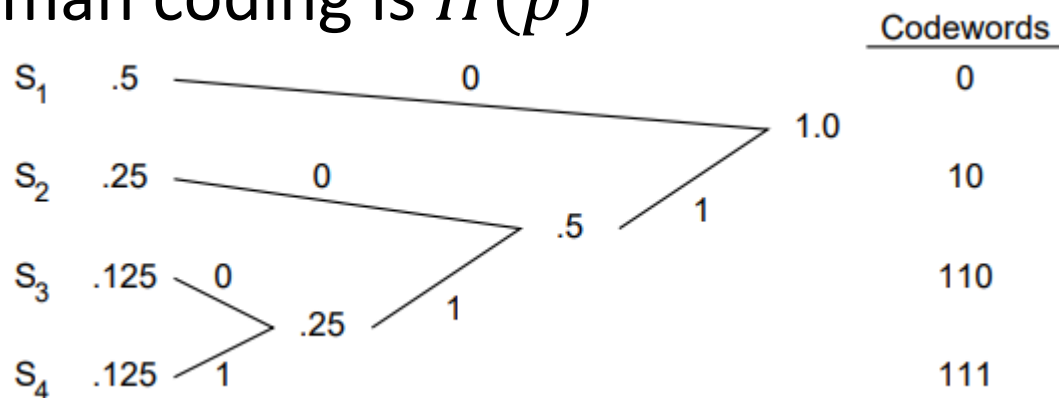


# Huffman coding and entropy

- The entropy of a discrete probability distribution  $\{p_i\}$  is that

$$H(p) = - \sum_i p_i \log_2 p_i$$

- Exercise (Ex2.3.31, W)  $H(p) \leq$  average length of Huffman coding  $\leq H(p) + 1$
- Exercise (Ex2.3.30, W) When each  $p_i$  is a power of  $1/2$ , average length of Huffman coding is  $H(p)$



$$\begin{aligned} \text{average length} &= (1) \left(\frac{1}{2}\right) + (2) \left(\frac{1}{4}\right) + (3) \left(\frac{1}{8}\right) + (3) \left(\frac{1}{8}\right) \\ &= 1.75 \text{ bits/symbol} \end{aligned}$$

$$\begin{aligned} H &= \frac{1}{2} \log_2 2 + \frac{1}{4} \log_2 4 + \frac{1}{8} \log_2 8 + \frac{1}{8} \log_2 8 \\ &= \frac{1}{2} + \frac{1}{2} + \frac{3}{8} + \frac{3}{8} \\ &= 1.75 \end{aligned}$$

# Lecture 4: Circuits

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<https://shuaili8.github.io/Teaching/CS445/index.html>

# Eulerian circuit

- A closed walk through a graph using every edge once is called an **Eulerian circuit**
- A graph that has such a walk is called an **Eulerian graph**
- Theorem (1.2.26, W) A graph  $G$  is Eulerian  $\iff$  it has at most one nontrivial component and its vertices all have even degree
- (possibly with multiple edges)
- Proof “ $\implies$ ” That  $G$  must be connected is obvious.  
Since the path enters a vertex through some edge and leaves by another edge, it is clear that all degrees must be even

# Key lemma

- Lemma (1.2.25, W) If every vertex of a graph  $G$  has degree at least 2, then  $G$  contains a cycle.

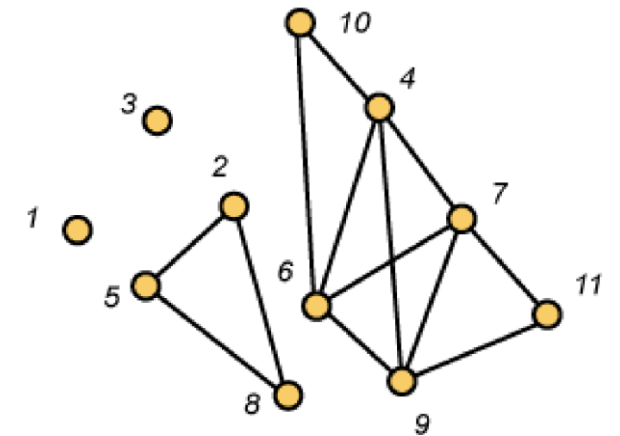
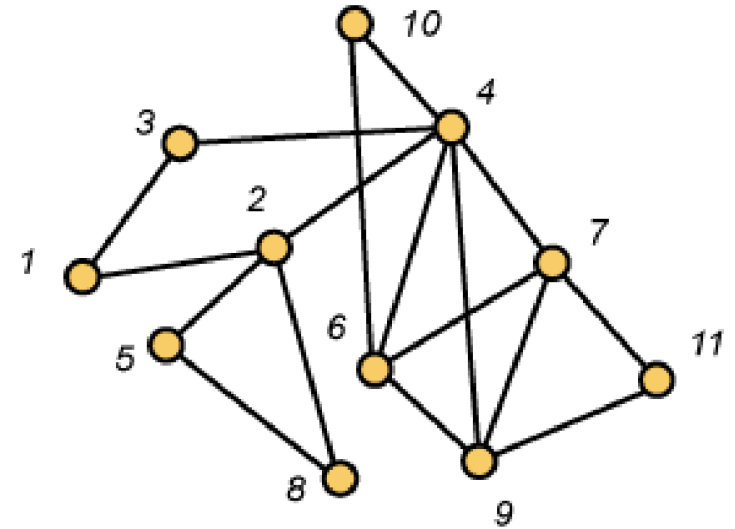
**Proposition** (1.3.1, D) Every graph  $G$  contains a path of length  $\delta(G)$  and a cycle of length at least  $\delta(G) + 1$ , provided  $\delta(G) \geq 2$ .

# Hierholzer's Algorithm for Euler Circuits

1. Choose a root vertex  $r$  and start with the trivial partial circuit  $(r)$
2. Given a partial circuit  $(x_0, e_1, x_1, \dots, x_{t-1}, e_t, x_t = x_0)$  that traverses not all edges of  $G$ , remove these edges from  $G$
3. Let  $i$  be the least integer for which  $x_i$  is incident with one of the remaining edges
4. Form a greedy partial circuit among the remaining edges of the form  $(x_i = y_0, e'_1, y_1, \dots, y_{s-1}, e'_s, y_s = x_i)$
5. Expand the original circuit by setting  $(x_0, e_1, \dots, e_i, x_i = y_0, e'_1, y_1, \dots, y_{s-1}, e'_s, y_s = x_i, e_{i+1}, \dots, e_t, x_t = x_0)$
6. Repeat step 2-5

# Example

1. Start with the trivial circuit (1)
2. Greedy algorithm yields the partial circuit (1,2,4,3,1)
3. Remove these edges
4. The first vertex incident with remaining edges is 2
5. Greedy algorithms yields (2,5,8,2)
6. Expanding (1,2,5,8,2,4,3,1)
7. Remove these edges



# Example (cont.)

6. Expanding (1,2,5,8,2,4,3,1)

7. Remove these edges

8. First vertex incident with remaining edges is 4

9. Greedy algorithm yields (4,6,7,4,9,6,10,4)

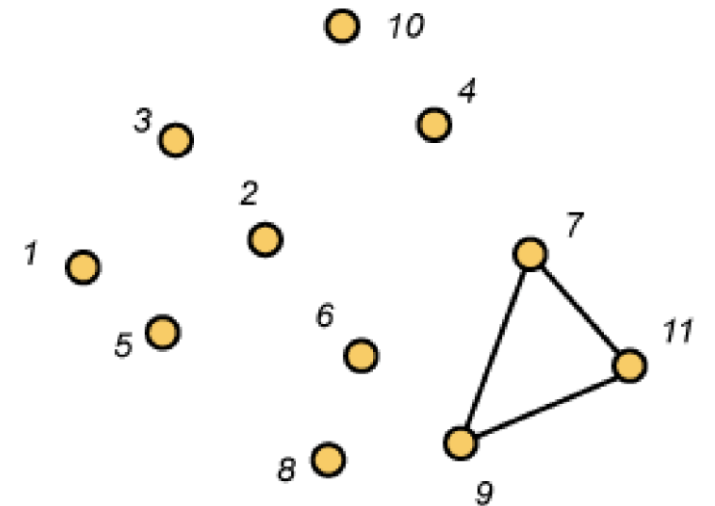
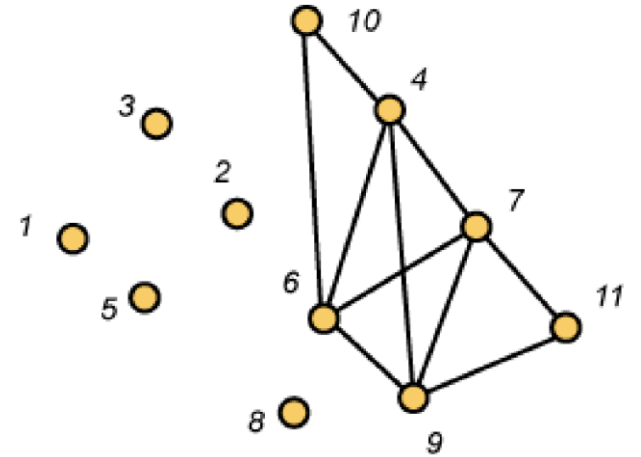
10. Expanding (1,2,5,8,2,4,6,7,4,9,6,10,4,3,1)

11. Remove these edges

12. First vertex incident with remaining edges is 7

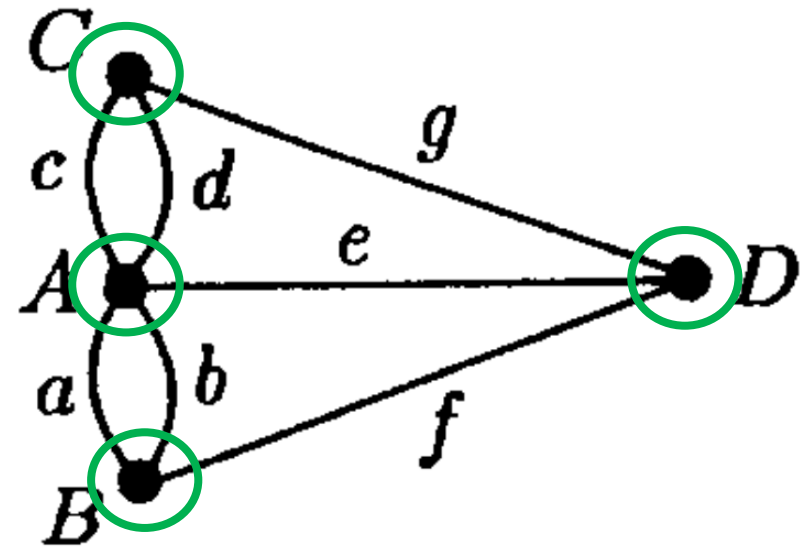
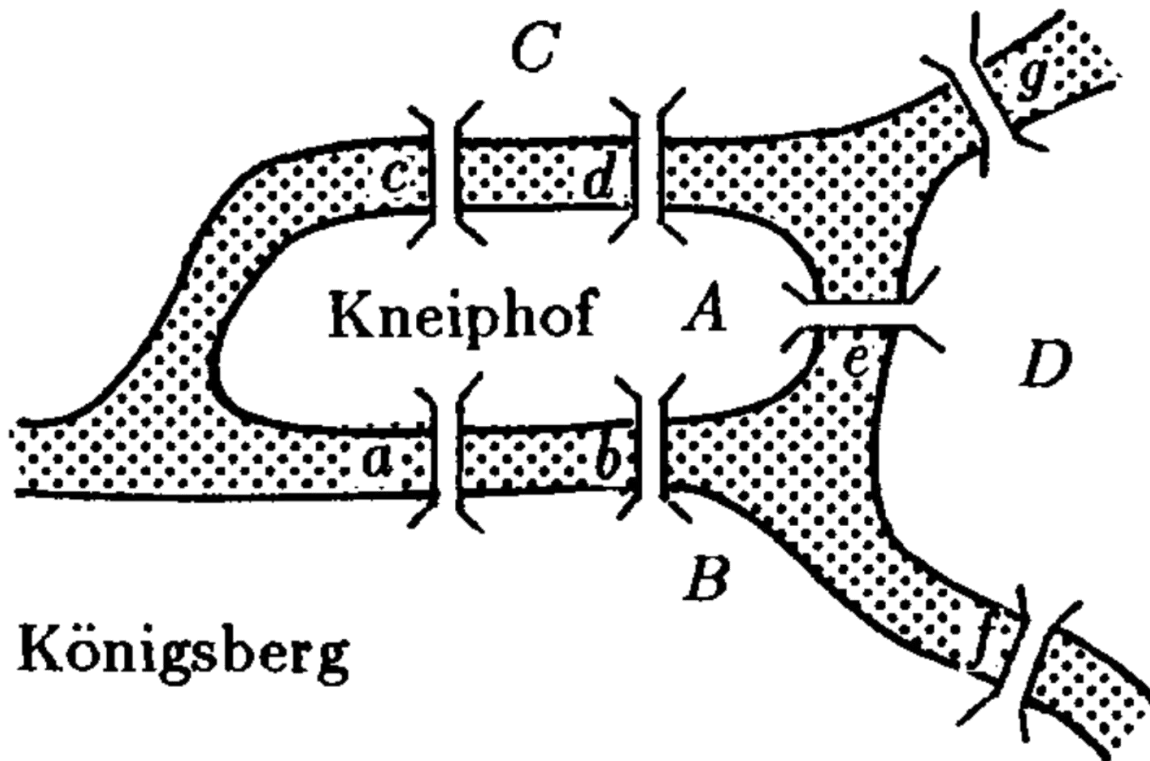
13. Greedy algorithm yields (7,9,11,7)

14. Expanding (1,2,5,8,2,4,6,7,9,11,7,4,9,6,10,4,3,1)



# Eulerian circuit

- **Theorem** (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree





# Other properties

- Proposition (1.2.27, W) Every even graph decomposes into cycles
- The necessary and sufficient condition for a directed Eulerian circuit is that the graph is connected and that each vertex has the same 'in-degree' as 'out-degree'

# TONCAS

- **TONCAS:** The obvious necessary condition is also sufficient
- **Theorem** (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree
- **Proposition** (1.3.28, W) The nonnegative integers  $d_1, \dots, d_n$  are the vertex degrees of some graph  $\Leftrightarrow \sum_{i=1}^n d_i$  is even
- (Possibly with loops)
- Otherwise  $(2,0,0)$  is not realizable
- **1.3.63.** (!) Let  $d_1, \dots, d_n$  be integers such that  $d_1 \geq \dots \geq d_n \geq 0$ . Prove that there is a loopless graph (multiple edges allowed) with degree sequence  $d_1, \dots, d_n$  if and only if  $\sum d_i$  is even and  $d_1 \leq d_2 + \dots + d_n$ . (Hakimi [1962])

# Hamiltonian path/circuits

- A **path**  $P$  is **Hamiltonian** if  $V(P) = V(G)$ 
  - Any graph contains a Hamiltonian path is called **traceable**
- A **cycle**  $C$  is called **Hamiltonian** if it spans all vertices of  $G$ 
  - A graph is called **Hamiltonian** if it contains a Hamiltonian circuit
- In the mid-19th century, Sir William Rowan Hamilton tried to popularize the exercise of finding such a closed path in the graph of the dodecahedron (正十二面体)

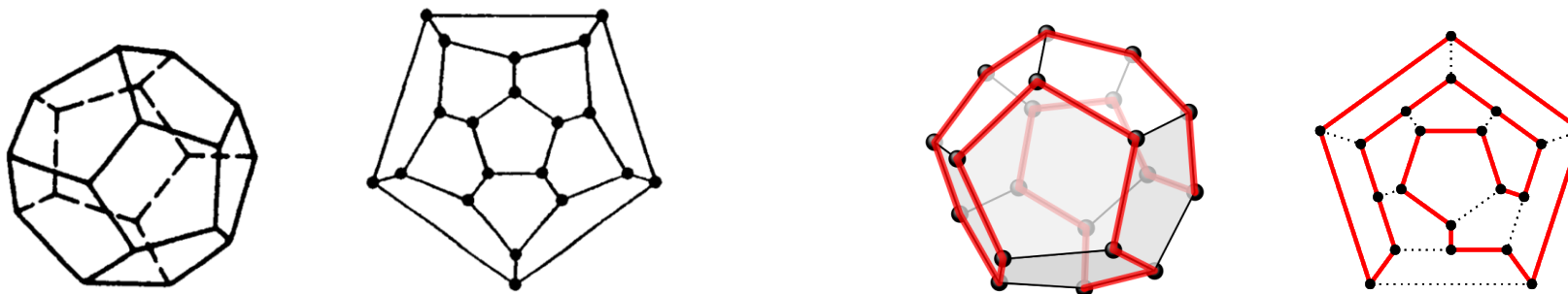


Figure 1.9

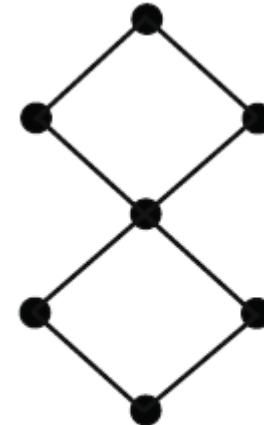
# Degree parity is not a criterion

**Theorem** (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree

- Hamiltonian graphs
  - all even degrees  $C_{10}$
  - all odd degrees  $K_{10}$
  - a mixture  $G_1$
- non-Hamiltonian graphs
  - all even  $G_2$
  - all odd  $K_{5,7}$
  - mixed  $P_9$



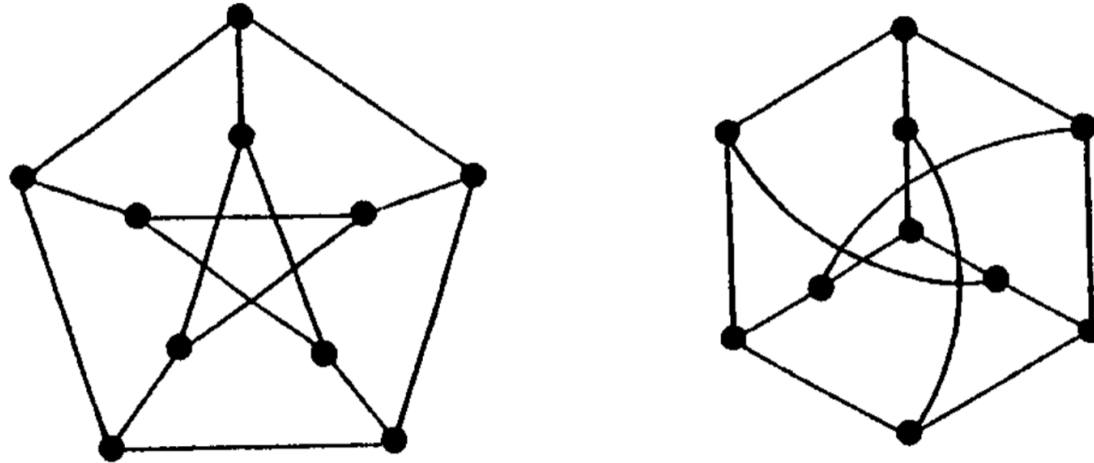
$G_1$



$G_2$

# Example

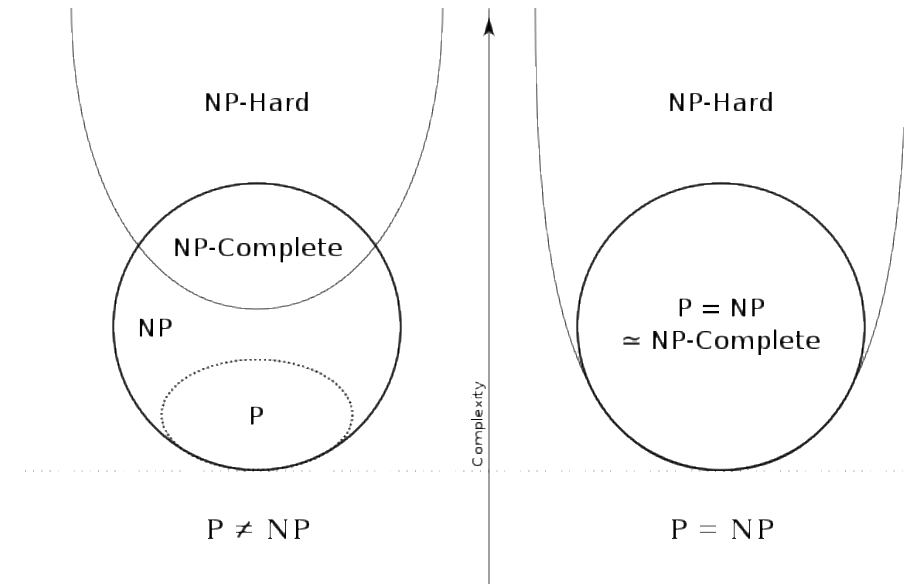
- The Petersen graph has a Hamiltonian path but no Hamiltonian cycle



- Determining whether such paths and cycles exist in graphs is the Hamiltonian path problem, which is NP-complete

# P, NP, NPC, NP-hard

- P The general class of questions for which some algorithm can provide an answer in polynomial time
- NP (nondeterministic polynomial time) The class of questions for which an answer can be *verified* in polynomial time
- NP-Complete
  1.  $c$  is in NP
  2. Every problem in NP is reducible to  $c$  in polynomial time
- NP-hard
  - ~~$c$  is in NP~~
  - Every problem in NP is reducible to  $c$  in polynomial time



# Large minimal degree implies Hamiltonian

- **Theorem** (1.22, H, Dirac) Let  $G$  be a graph of order  $n \geq 3$ . If  $\delta(G) \geq n/2$ , then  $G$  is Hamiltonian

**Proposition** (1.3.15, W) If  $\delta(G) \geq \frac{n-1}{2}$ , then  $G$  is connected

(Ex16, S1.1.2, H) (1.3.16, W)

If  $\delta(G) \geq \frac{n-2}{2}$ , then  $G$  need not be connected

- The bound is tight  
(Ex12b, S1.4.3, H)  $G = K_{r,r+1}$  is not Hamiltonian  
Exercise The condition when  $K_{r,s}$  is Hamiltonian
- The condition is not necessary
  - $C_n$  is Hamiltonian but with small minimum (and even maximum) degree

# Generalized version

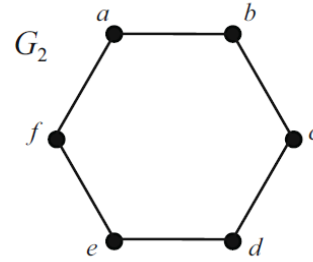
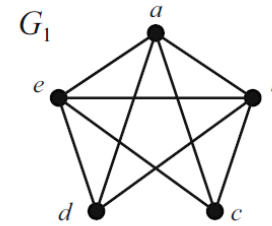
- Exercise (Theorem 1.23, H, Ore; Ex3, S1.4.3, H) Let  $G$  be a graph of order  $n \geq 3$ . If  $\deg(x) + \deg(y) \geq n$  for all pairs of nonadjacent vertices  $x, y$ , then  $G$  is Hamiltonian

**Theorem** (1.22, H, Dirac) Let  $G$  be a graph of order  $n \geq 3$ . If  $\delta(G) \geq n/2$ , then  $G$  is Hamiltonian



# Independence number & Hamiltonian

- A set of vertices in a graph is called **independent** if they are pairwise nonadjacent
- The **independence number** of a graph  $G$ , denoted as  $\alpha(G)$ , is the largest size of an independent set
- Example:  $\alpha(G_1) = 2, \alpha(G_2) = 3$
- **Theorem** (1.24, H) Let  $G$  be a connected graph of order  $n \geq 3$ . If  $\kappa(G) \geq \alpha(G)$ , then  $G$  is Hamiltonian



(Ex14, S1.1.2, H)  $\kappa(G) \geq 2$  implies  $G$  has at least one cycle

# Independence number & Hamiltonian 2

**Theorem** (1.24, H) Let  $G$  be a connected graph of order  $n \geq 3$ . If  $\kappa(G) \geq \alpha(G)$ , then  $G$  is Hamiltonian

- The result is tight:  $\kappa(G) \geq \alpha(G) - 1$  is not enough
  - $K_{r,r+1}$ :  $\kappa = r, \alpha = r + 1$
  - **Exercise** (Ex4, S1.4.3, H) Peterson graph:  $\kappa = 3, \alpha = 4$

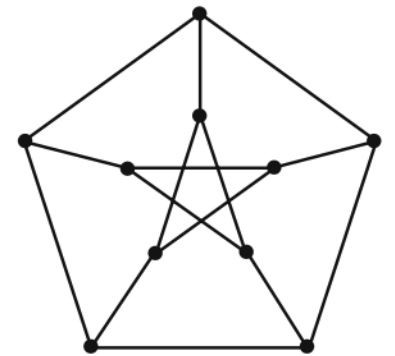
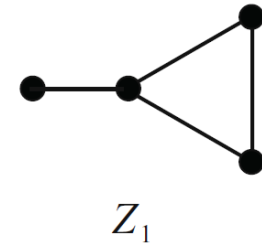
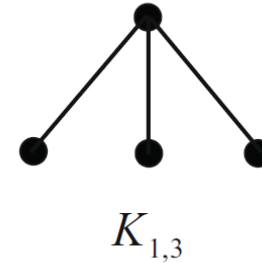


FIGURE 1.63. The Petersen Graph.

# Pattern-free & Hamiltonian



- $G$  is  $H$ -free if  $G$  doesn't contain a copy of  $H$  as induced subgraph
- Theorem (1.25, H) If  $G$  is 2-connected and  $\{K_{1,3}, Z_1\}$ -free, then  $G$  is Hamiltonian

(Ex14, S1.1.2, H)  $\kappa(G) \geq 2$  implies  $G$  has at least one cycle

- The condition 2-connectivity is necessary
- (Ex2, S1.4.3, H) If  $G$  is Hamiltonian, then  $G$  is 2-connected

# Lecture 5: Matchings

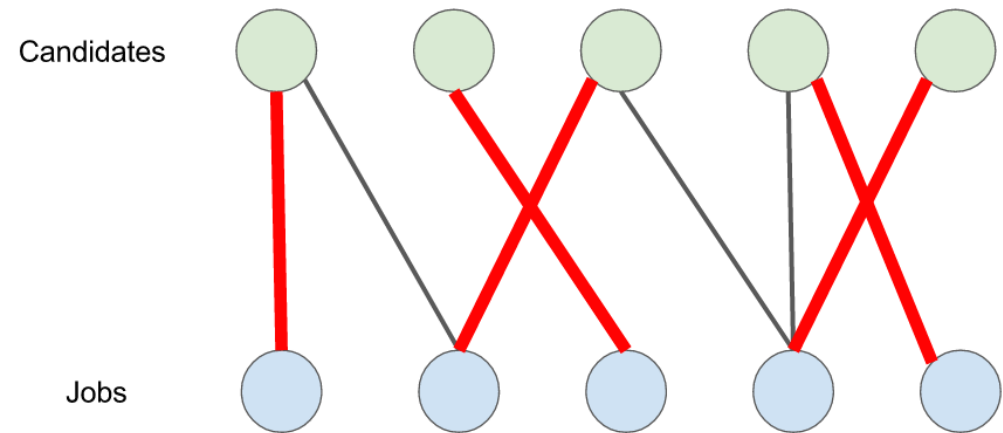
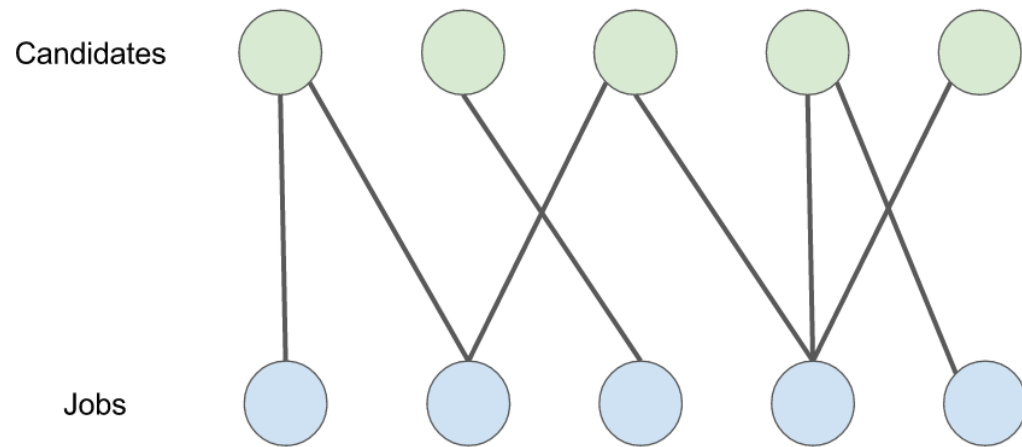
Shuai Li

John Hopcroft Center, Shanghai Jiao Tong University

<https://shuaili8.github.io>

<https://shuaili8.github.io/Teaching/CS445/index.html>

# Motivating example

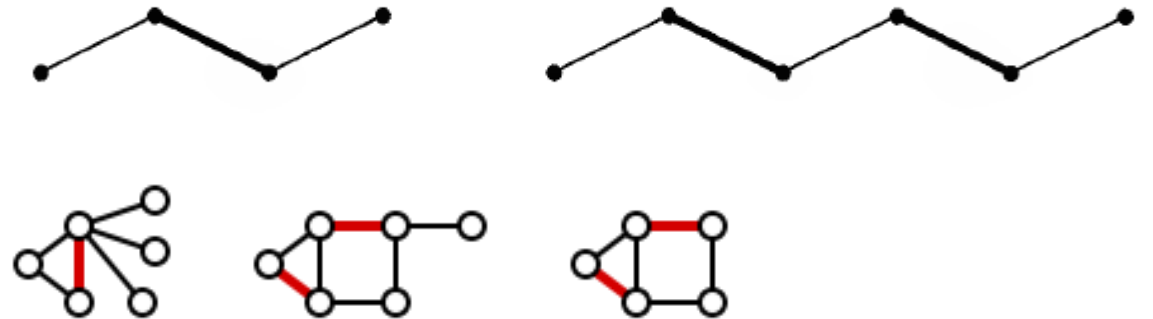


# Definitions

- A **matching** is a set of independent edges, in which no pair of edges shares a vertex
- The vertices incident to the edges of a matching  $M$  are  **$M$ -saturated** (饱和的); the others are  **$M$ -unsaturated**
- A **perfect matching** in a graph is a matching that saturates every vertex
- Example (3.1.2, W) The number of perfect matchings in  $K_{n,n}$  is  $n!$
- Example (3.1.3, W) The number of perfect matchings in  $K_{2n}$  is
$$f_n = (2n - 1)(2n - 3) \cdots 1 = (2n - 1)!!$$

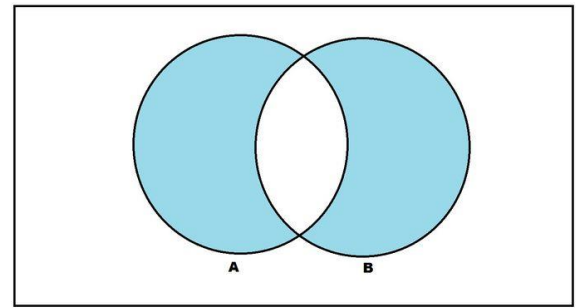
# Maximal/maximum matchings 极大/最大

- A **maximal matching** in a graph is a matching that cannot be enlarged by adding an edge
- A **maximum matching** is a matching of maximum size among all matchings in the graph
- Example:  $P_3, P_5$

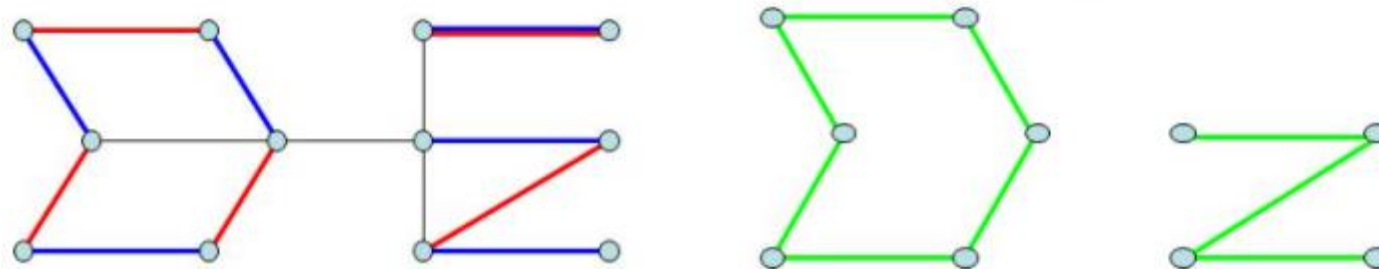


- Every maximum matching is maximal, but not every maximal matching is a maximum matching

# Symmetric difference of matchings



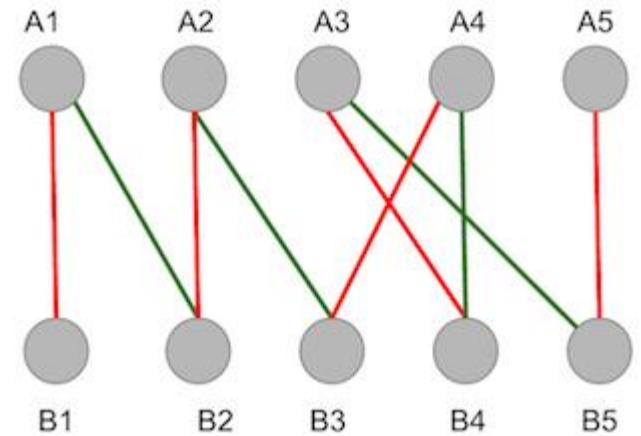
- The symmetric difference of  $M, M'$  is  $M\Delta M' = (M - M') \cup (M' - M)$
- Lemma (3.1.9, W) Every component of the symmetric difference of two matchings is a path or an even cycle



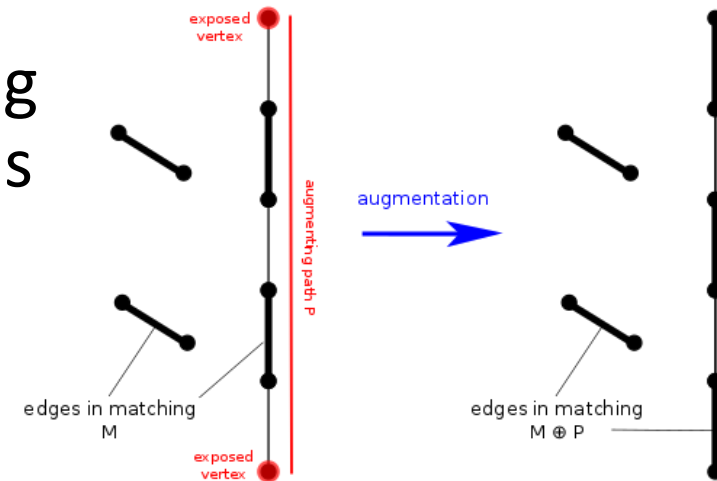


# Maximum matching and augmenting path

- Given a matching  $M$ , an  $M$ -alternating path is a path that alternates between edges in  $M$  and edges not in  $M$
- An  $M$ -alternating path whose endpoints are  $M$ -unsaturated is an  $M$ -augmenting path
- **Theorem** (3.1.10, W; 1.50, H; Berge 1957) A matching  $M$  in a graph  $G$  is a **maximum** matching in  $G \iff G$  has no  $M$ -augmenting path



**Lemma** (3.1.9, W) Every component of the symmetric difference of two matchings is a path or an even cycle



# Hall's theorem (TONCAS)

- **Theorem** (3.1.11, W; 1.51, H; 2.1.2, D; Hall 1935) Let  $G$  be a bipartite graph with partition  $X, Y$ .

$G$  contains a matching of  $X \Leftrightarrow |N(S)| \geq |S|$  for all  $S \subseteq X$

**Theorem** (3.1.10, W; 1.50, H; Berge 1957) A matching  $M$  in a graph  $G$  is a **maximum** matching in  $G \Leftrightarrow G$  has no  $M$ -augmenting path

- **Exercise.** Read the other two proofs in Diestel.
- **Corollary** (3.1.13, W; 2.1.3, D) Every  $k$ -regular ( $k > 0$ ) bipartite graph has a perfect matching

# General regular graph

- Corollary (2.1.5, D) Every regular graph of positive even degree has a 2-factor
  - A  $k$ -regular spanning subgraph is called a  $k$ -factor
  - A perfect matching is a 1-factor

**Theorem** (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree

**Corollary** (3.1.13, W; 2.1.3, D) Every  $k$ -regular ( $k > 0$ ) bipartite graph has a perfect matching

# Application to SDR

- Given some family of sets  $X$ , a system of distinct representatives for the sets in  $X$  is a 'representative' collection of distinct elements from the sets of  $X$

$$S_1 = \{2, 8\},$$

$$S_2 = \{8\},$$

$$S_3 = \{5, 7\},$$

$$S_4 = \{2, 4, 8\},$$

$$S_5 = \{2, 4\}.$$

The family  $X_1 = \{S_1, S_2, S_3, S_4\}$  does have an SDR, namely  $\{2, 8, 7, 4\}$ . The family  $X_2 = \{S_1, S_2, S_4, S_5\}$  does not have an SDR.

- Theorem(1.52, H) Let  $S_1, S_2, \dots, S_k$  be a collection of finite, nonempty sets. This collection has SDR  $\Leftrightarrow$  for every  $t \in [k]$ , the union of any  $t$  of these sets contains at least  $t$  elements

**Theorem** (3.1.11, W; 1.51, H; 2.1.2, D; Hall 1935) Let  $G$  be a bipartite graph with partition  $X, Y$ .

$G$  contains a matching of  $X \Leftrightarrow |N(S)| \geq |S|$  for all  $S \subseteq X$

# König Theorem

## Augmenting Path Algorithm

# Vertex cover

- A set  $U \subseteq V$  is a **(vertex) cover** of  $E$  if every edge in  $G$  is incident with a vertex in  $U$
- Example:
  - Art museum is a graph with hallways are edges and corners are nodes
  - A security camera at the corner will guard the paintings on the hallways
  - The minimum set to place the cameras?

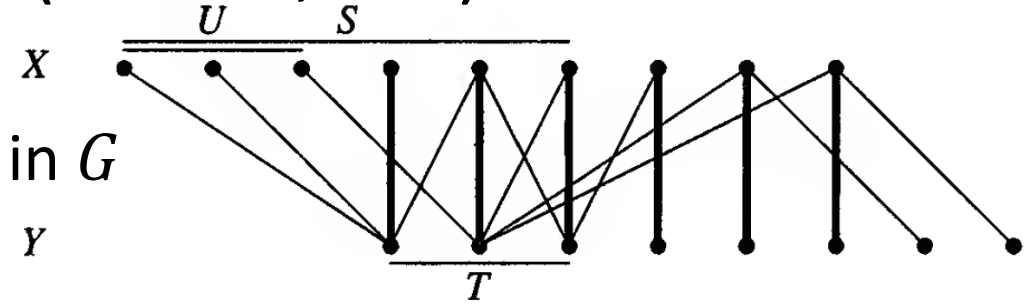
# König-Egeváry Theorem (Min-max theorem)

- **Theorem** (3.1.16, W; 1.53, H; 2.1.1, D; König 1931; Egeváry 1931)  
Let  $G$  be a bipartite graph. The **maximum** size of a matching in  $G$  is equal to the **minimum** size of a vertex cover of its edges

**Theorem** (3.1.10, W; 1.50, H; Berge 1957) A matching  $M$  in a graph  $G$  is a **maximum** matching in  $G \Leftrightarrow G$  has no  $M$ -augmenting path

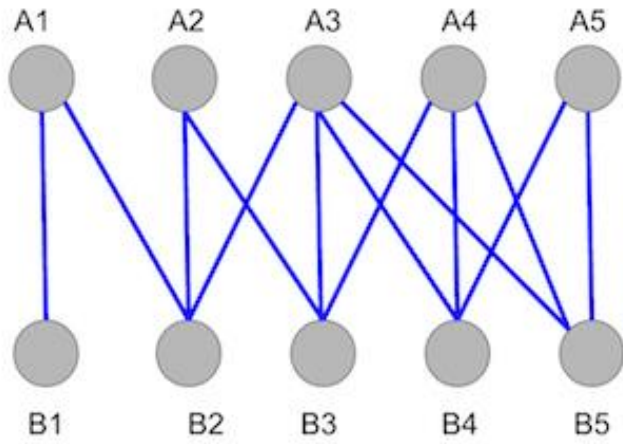
# Augmenting path algorithm (3.2.1, W)

- **Input:**  $G$  is Bipartite with  $X, Y$ , a matching  $M$  in  $G$   
 $U = \{M\text{-unsaturated vertices in } X\}$
- **Idea:** Explore  $M$ -alternating paths from  $U$   
 letting  $S \subseteq X$  and  $T \subseteq Y$  be the sets of vertices reached
- **Initialization:**  $S = U, T = \emptyset$  and all vertices in  $S$  are unmarked
- **Iteration:**
  - If  $S$  has no unmarked vertex, stop and report  $T \cup (X - S)$  as a minimum cover and  $M$  as a maximum matching
  - Otherwise, select an unmarked  $x \in S$  to explore
    - Consider each  $y \in N(x)$  such that  $xy \notin M$ 
      - If  $y$  is unsaturated, terminate and report an  $M$ -augmenting path from  $U$  to  $y$
      - Otherwise,  $yw \in M$  for some  $w$ 
        - include  $y$  in  $T$  (reached from  $x$ ) and include  $w$  in  $S$  (reached from  $y$ )
  - After exploring all such edges incident to  $x$ , mark  $x$  and iterate.

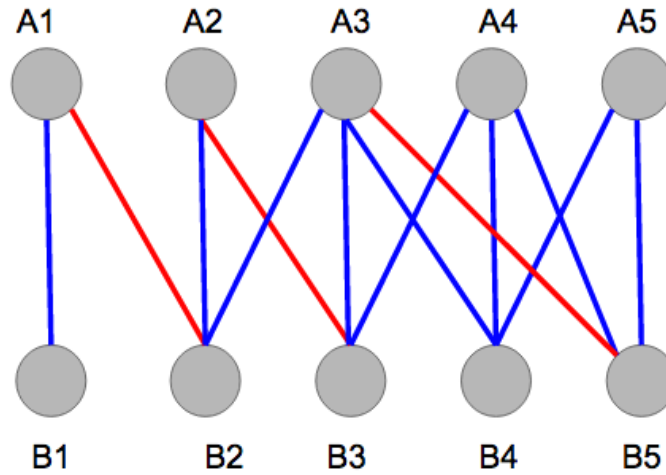




# Example



Red: A random matching



# Theoretical guarantee for Augmenting path algorithm

- Theorem (3.2.2, W) Repeatedly applying the Augmenting Path Algorithm to a bipartite graph produces a matching and a vertex cover of equal size

# Weighted Bipartite Matching

## Hungarian Algorithm

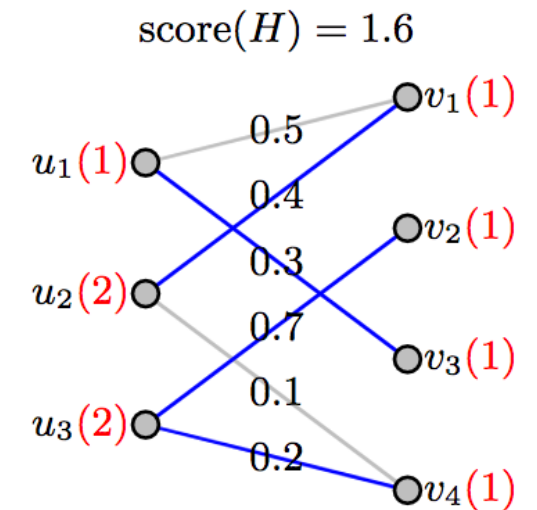
# Weighted bipartite matching

- The **maximum weighted matching problem** is to seek a perfect matching  $M$  to maximize the total weight  $w(M)$
- Bipartite graph
  - W.l.o.g. Assume the graph is  $K_{n,n}$  with  $w_{i,j} \geq 0$  for all  $i, j \in [n]$

- Optimization:

$$\begin{aligned} \max w(M_a) &= \sum_{i,j} a_{i,j} w_{i,j} \\ \text{s.t. } a_{i,1} + \dots + a_{i,n} &\leq 1 \text{ for any } i \\ a_{1,j} + \dots + a_{n,j} &\leq 1 \text{ for any } j \\ a_{i,j} &\in \{0,1\} \end{aligned}$$

- Integer programming
- General IP problems are NP-Complete



# (Weighted) cover

- A (weighted) **cover** is a choice of labels  $u_1, \dots, u_n$  and  $v_1, \dots, v_n$  such that  $u_i + v_j \geq w_{i,j}$  for all  $i, j$ 
  - The **cost**  $c(u, v)$  of a cover  $(u, v)$  is  $\sum_i u_i + \sum_j v_j$
  - The **minimum weighted cover problem** is that of finding a cover of minimum cost
- Optimization problem

$$\begin{aligned} \min c(u, v) &= \sum_i u_i + \sum_j v_j \\ \text{s. t. } u_i + v_j &\geq w_{i,j} \text{ for any } i, j \\ u_i, v_j &\geq 0 \text{ for any } i, j \end{aligned}$$

# Duality

(IP)

$$\begin{aligned} \max \sum_{i,j} a_{i,j} w_{i,j} \\ \text{s. t. } a_{i,1} + \dots + a_{i,n} \leq 1 \text{ for any } i \\ a_{1,j} + \dots + a_{n,j} \leq 1 \text{ for any } j \\ a_{i,j} \in \{0,1\} \end{aligned}$$

(Linear programming)

$$\begin{aligned} \max \sum_{i,j} a_{i,j} w_{i,j} \\ \text{s. t. } a_{i,1} + \dots + a_{i,n} \leq 1 \text{ for any } i \\ a_{1,j} + \dots + a_{n,j} \leq 1 \text{ for any } j \\ a_{i,j} \geq 0 \end{aligned}$$

(Dual)

$$\begin{aligned} \min \sum_i u_i + \sum_j v_j \\ \text{s. t. } u_i + v_j \geq w_{i,j} \text{ for any } i,j \\ u_i, v_j \geq 0 \end{aligned}$$

- Weak duality theorem

- For each feasible solution  $a$  and  $(u, v)$

$$\sum_{i,j} a_{i,j} w_{i,j} \leq \sum_i u_i + \sum_j v_j$$

$$\text{thus } \max \sum_{i,j} a_{i,j} w_{i,j} \leq \min \sum_i u_i + \sum_j v_j$$

# Duality (cont.)

- Strong duality theorem

- If one of the two problems has an optimal solution, so does the other one and that the bounds given by the weak duality theorem are tight

$$\max \sum_{i,j} a_{i,j} w_{i,j} = \min \sum_i u_i + \sum_j v_j$$

- Lemma (3.2.7, W) For a perfect matching  $M$  and cover  $(u, v)$  in a weighted bipartite graph  $G$ ,  $c(u, v) \geq w(M)$ .

$c(u, v) = w(M) \Leftrightarrow M$  consists of edges  $x_i y_j$  such that  $u_i + v_j = w_{i,j}$

In this case,  $M$  and  $(u, v)$  are optimal.

# Equality subgraph

- The **equality subgraph**  $G_{u,v}$  for a cover  $(u, v)$  is the **spanning** subgraph of  $K_{n,n}$  having the edges  $x_i y_j$  such that  $u_i + v_j = w_{i,j}$ 
  - So if  $c(u, v) = w(M)$  for some perfect matching  $M$ , then  $M$  is composed of edges in  $G_{u,v}$
  - And if  $G_{u,v}$  contains a perfect matching  $M$ , then  $(u, v)$  and  $M$  (whose weights are  $u_i + v_j$ ) are both optimal

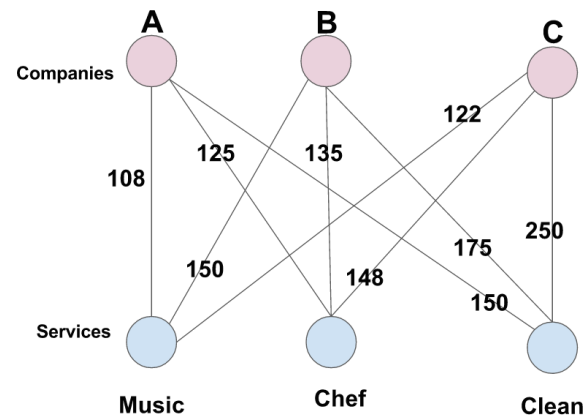


# Hungarian algorithm

- **Input:** Weighted  $K_{n,n} = B(X, Y)$
- **Idea:** Iteratively adjusting the cover  $(u, v)$  until the equality subgraph  $G_{u,v}$  has a perfect matching
- **Initialization:** Let  $(u, v)$  be a cover, such as  $u_i = \max_j w_{i,j}$ ,  $v_j = 0$

(Dual)

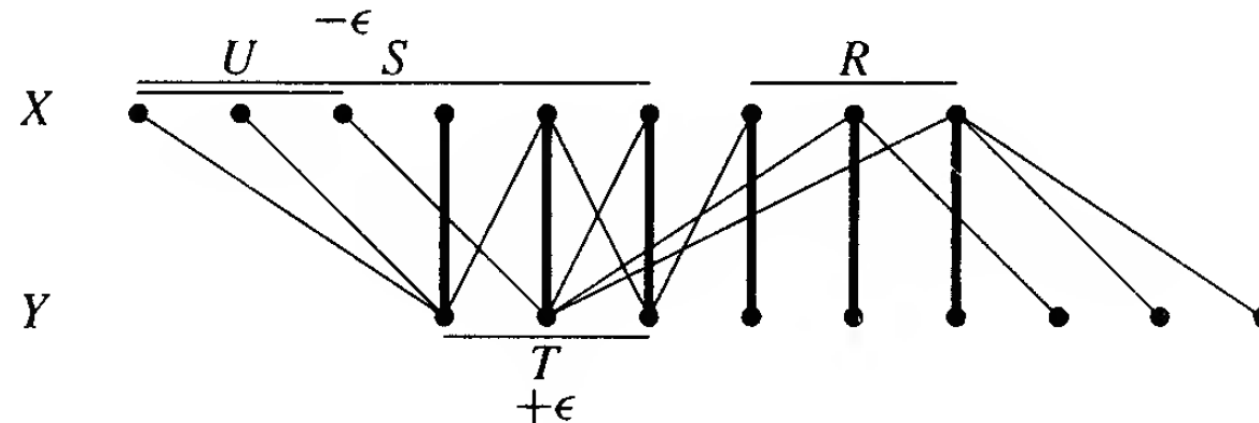
$$\begin{aligned} \min \quad & \sum_i u_i + \sum_j v_j \\ \text{s. t.} \quad & u_i + v_j \geq w_{i,j} \text{ for any } i, j \\ & u_i, v_j \geq 0 \end{aligned}$$



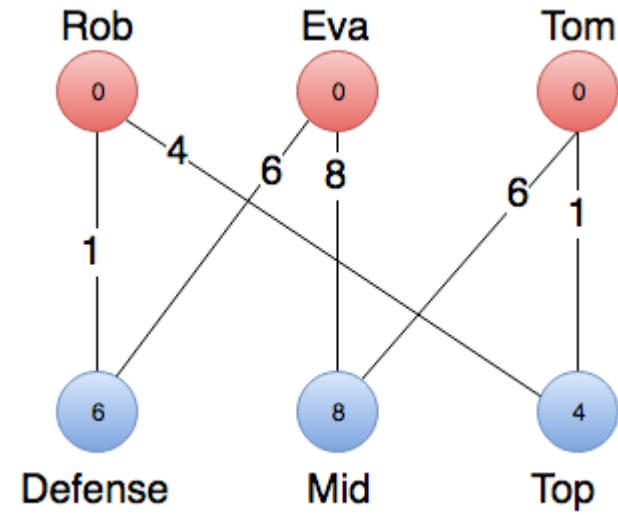
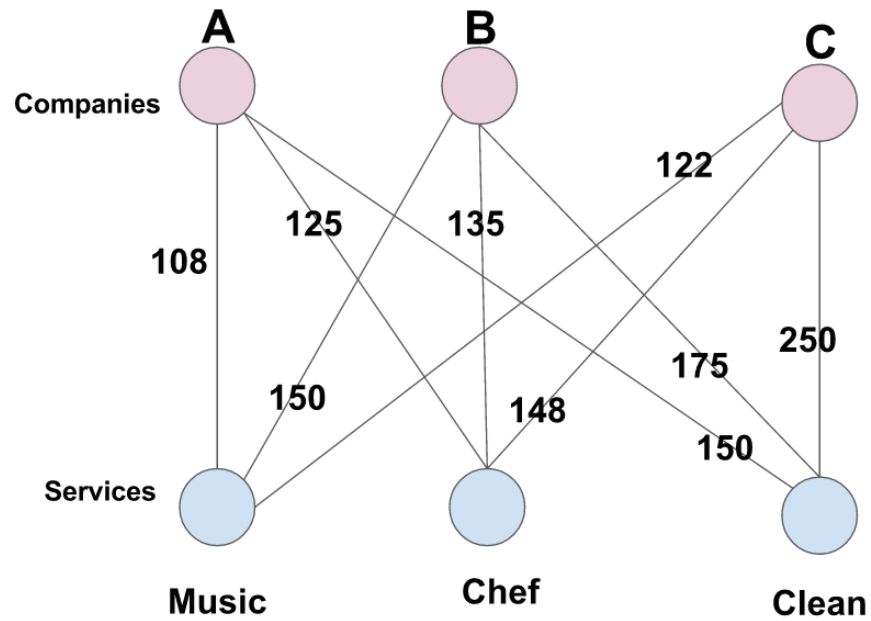
# Hungarian algorithm (cont.)

- **Iteration:** Find a maximum matching  $M$  in  $G_{u,v}$ 
  - If  $M$  is a perfect matching, stop and report  $M$  as a maximum weight matching
  - Otherwise, let  $Q$  be a vertex cover of size  $|M|$  in  $G_{u,v}$ 
    - Let  $R = X \cap Q, T = Y \cap Q$ 

$$\epsilon = \min\{u_i + v_j - w_{i,j} : x_i \in X - R, y_j \in Y - T\}$$
      - Decrease  $u_i$  by  $\epsilon$  for  $x_i \in X - R$  and increase  $v_j$  by  $\epsilon$  for  $y_j \in T$
  - Form the new equality subgraph and repeat



# Example

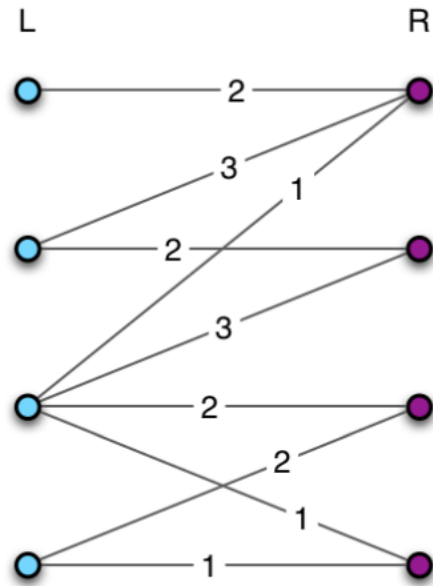




# Theoretical guarantee for Hungarian algorithm

- Theorem (3.2.11, W) The Hungarian Algorithm finds a maximum weight matching and a minimum cost cover

# Example 3



# Back to (unweighted) bipartite graph

- The weights are binary 0,1
- Hungarian algorithm always maintain integer labels in the weighted cover, thus the solution will always be 0,1
- The vertices receiving label 1 must cover the weight on the edges, thus cover all edges
- So the solution is a minimum vertex cover

# Stable Matchings



# Stable matching

- A family  $(\leq_v)_{v \in V}$  of linear orderings  $\leq_v$  on  $E(v)$  is a set of preferences for  $G$
- A matching  $M$  in  $G$  is **stable** if for any edge  $e \in E \setminus M$ , there exists an edge  $f \in M$  such that  $e$  and  $f$  have a common vertex  $v$  with  $e <_v f$ 
  - **Unstable**: There exists  $xy \in E \setminus M$  but  $xy', x'y \in M$  with  $xy' <_x xy$   
 $x'y <_y xy$

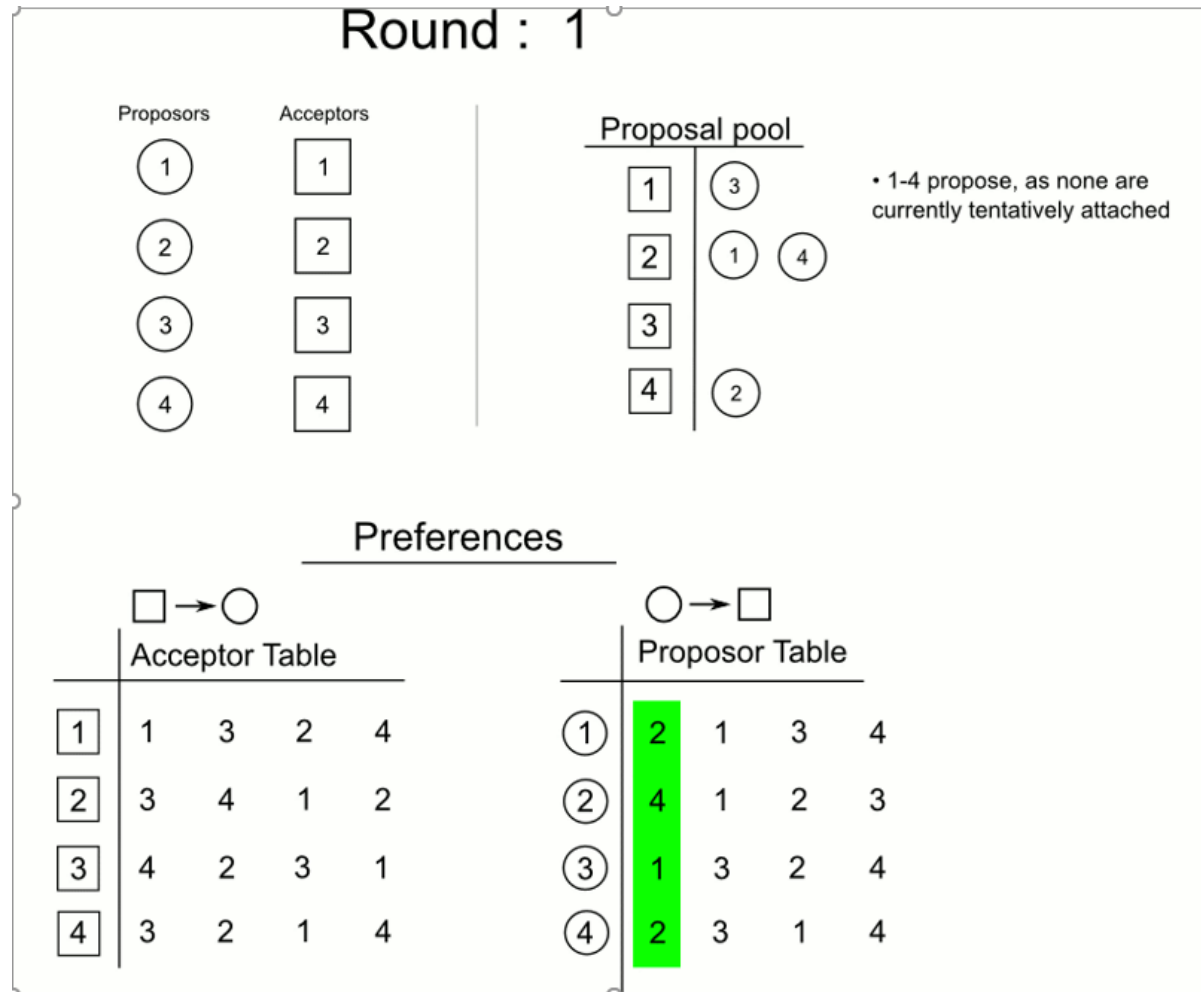
**3.2.16. Example.** Given men  $x, y, z, w$ , women  $a, b, c, d$ , and preferences listed below, the matching  $\{xa, yb, zd, wc\}$  is a stable matching. ■

Men $\{x, y, z, w\}$	Women $\{a, b, c, d\}$
$x : a > b > c > d$	$a : z > x > y > w$
$y : a > c > b > d$	$b : y > w > x > z$
$z : c > d > a > b$	$c : w > x > y > z$
$w : c > b > a > d$	$d : x > y > z > w$

# Gale-Shapley Proposal Algorithm

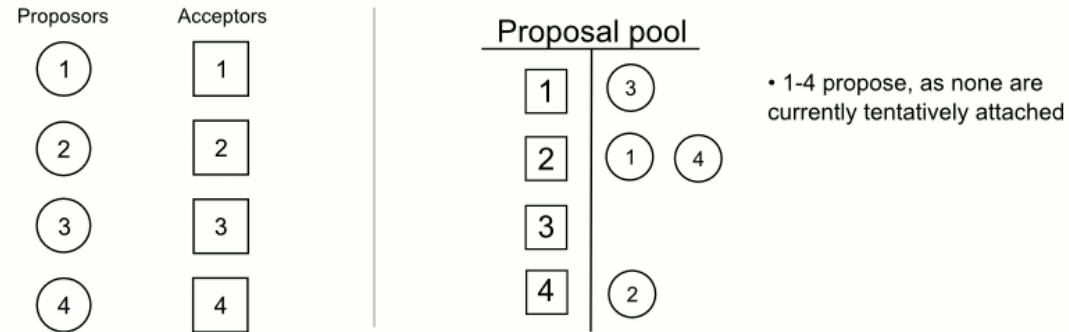
- **Input:** Preference rankings by each of  $n$  men and  $n$  women
- **Idea:** Produce a stable matching using proposals by maintaining information about who has proposed to whom and who has rejected whom
- **Iteration:** Each man proposes to the highest woman on his preference list who has not previously rejected him
  - If each woman receives exactly one proposal, stop and use the resulting matching
  - Otherwise, every woman receiving more than one proposal rejects all of them except the one that is highest on her preference list
  - Every woman receiving a proposal says “maybe” to the most attractive proposal received

# Example



# Example (gif)

Round : 1



## Preferences

□ → ○		○ → □	
	Acceptor Table		Proposor Table
1	1 3 2 4	①	2 1 3 4
2	3 4 1 2	②	4 1 2 3
3	4 2 3 1	③	1 3 2 4
4	3 2 1 4	④	2 3 1 4

# Theoretical guarantee for the Proposal Algorithm

- Theorem (3.2.18, W, Gale-Shapley 1962) The Proposal Algorithm produces a stable matching
- Who proposes matters (jobs/candidates)
- **Exercise** Among all stable matchings, every man is happiest in the one produced by the male-proposal algorithm and every woman is happiest under the female-proposal algorithm

**3.2.16. Example.** Given men  $x, y, z, w$ , women  $a, b, c, d$ , and preferences listed below, the matching  $\{xa, yb, zd, wc\}$  is a stable matching. ■

Men $\{x, y, z, w\}$	Women $\{a, b, c, d\}$
$x : a > b > c > d$	$a : z > x > y > w$
$y : a > c > b > d$	$b : y > w > x > z$
$z : c > d > a > b$	$c : w > x > y > z$
$w : c > b > a > d$	$d : x > y > z > w$

# Matchings in General Graphs

# Perfect matchings

- $K_{2n}, C_{2n}, P_{2n}$  have perfect matchings
- **Corollary** (3.1.13, W; 2.1.3, D) Every  $k$ -regular ( $k > 0$ ) bipartite graph has a perfect matching
- **Theorem**(1.58, H) If  $G$  is a graph of order  $2n$  such that  $\delta(G) \geq n$ , then  $G$  has a perfect matching

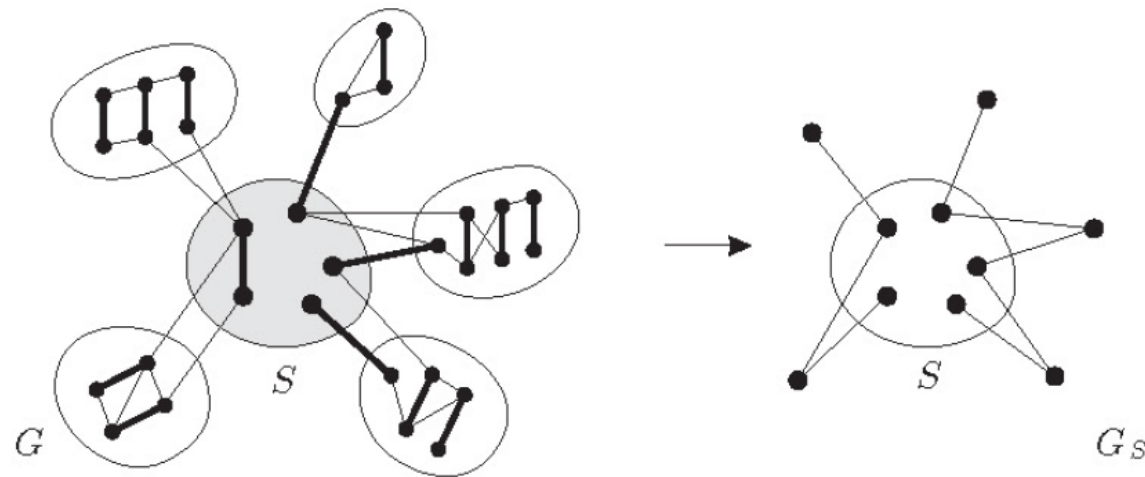
**Theorem** (1.22, H, Dirac) Let  $G$  be a graph of order  $n \geq 3$ . If  $\delta(G) \geq n/2$ , then  $G$  is Hamiltonian

# Tutte's Theorem (TONCAS)

- Let  $q(G)$  be the number of connected components with odd order

- Theorem (1.59, H; 2.2.1, D; 3.3.3, W)

Let  $G$  be a graph of order  $n \geq 2$ .  $G$  has a perfect matching  $\Leftrightarrow q(G - S) \leq |S|$  for all  $S \subseteq V$



*Fig. 2.2.1.* Tutte's condition  $q(G - S) \leq |S|$  for  $q = 3$ , and the contracted graph  $G_S$  from Theorem 2.2.3.



# Petersen's Theorem

- Theorem (1.60, H; 2.2.2, D; 3.3.8, W)  
Every bridgeless, 3-regular graph contains a perfect matching

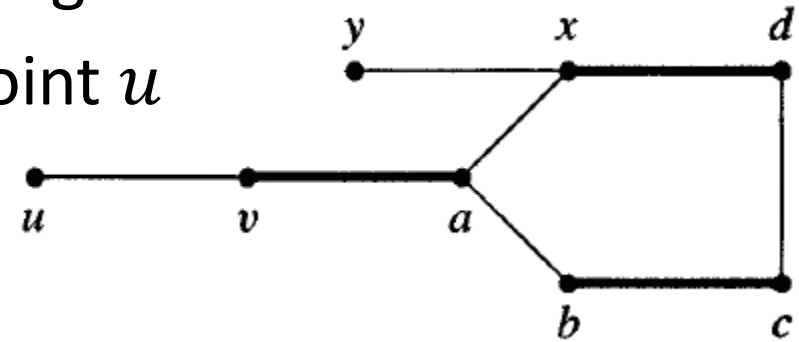
**Theorem** (1.59, H; 2.2.1, D; 3.3.3, W)

Let  $G$  be a graph of order  $n \geq 2$ .  $G$  has a perfect matching  $\Leftrightarrow q(G - S) \leq |S|$  for all  $S \subseteq V$

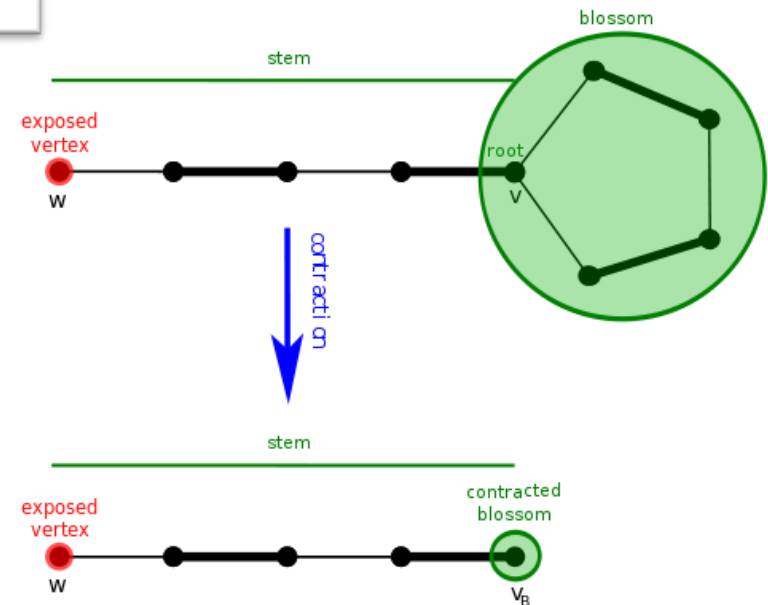
# Find augmenting paths in general graphs

- Different from bipartite graphs, a vertex can belong to both  $S$  and  $T$
- Example: How to explore from  $M$ -unsaturated point  $u$

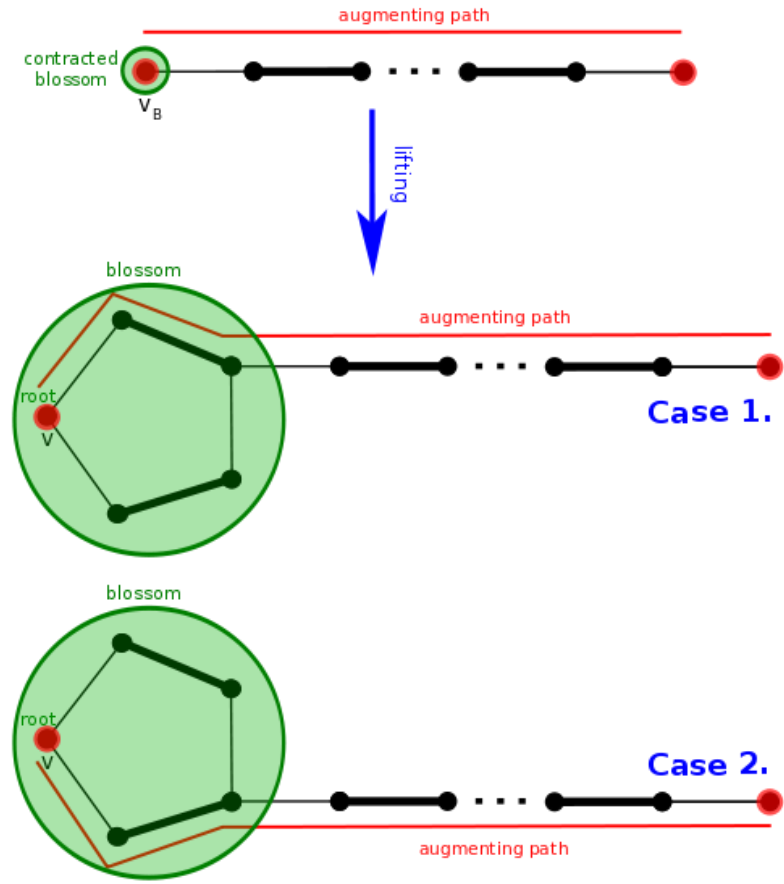
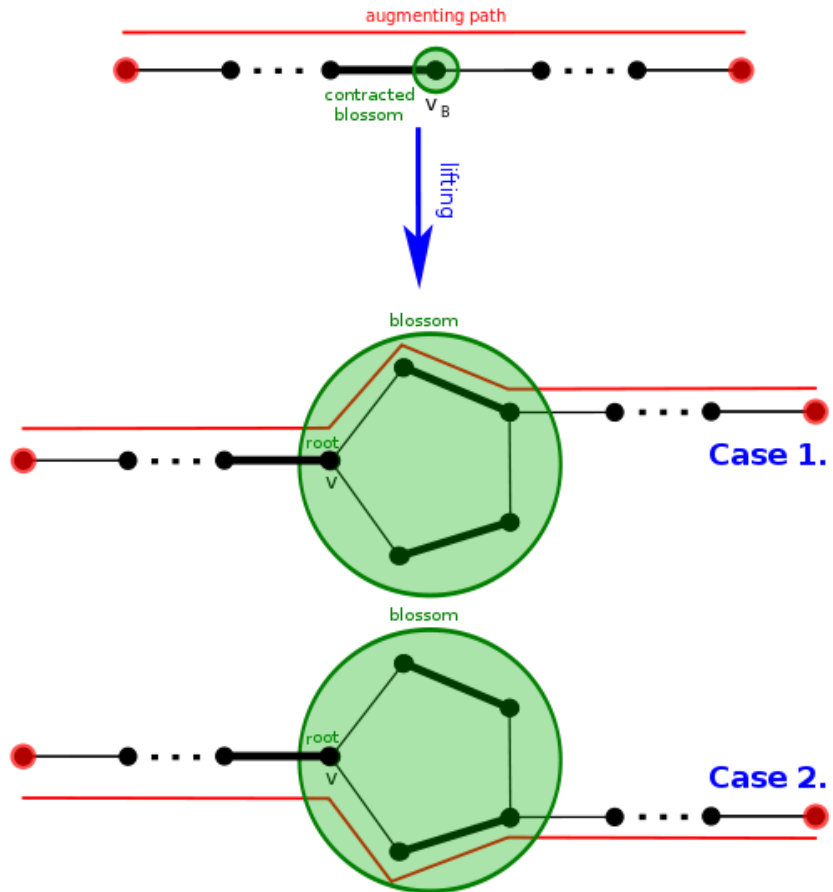
**Theorem** (3.1.10, W; 1.50, H; Berge 1957) A matching  $M$  in a graph  $G$  is a **maximum** matching in  $G \Leftrightarrow G$  has no  $M$ -augmenting path



- Flower/stem/blossom



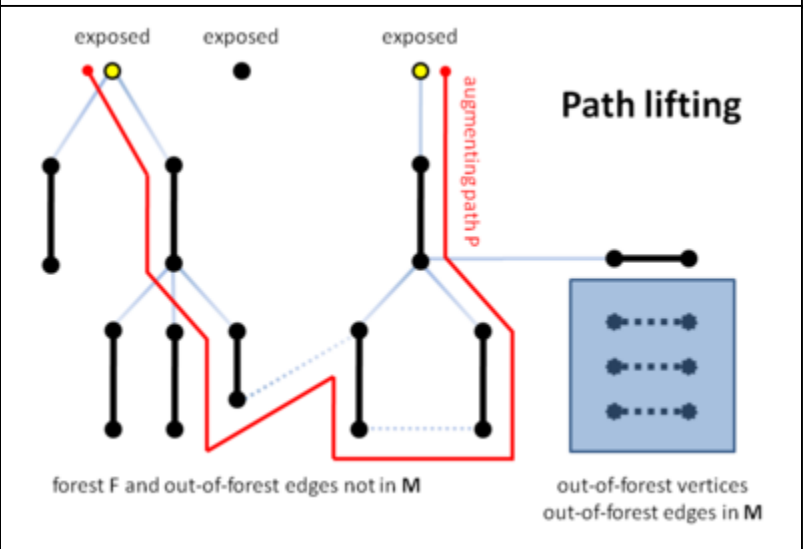
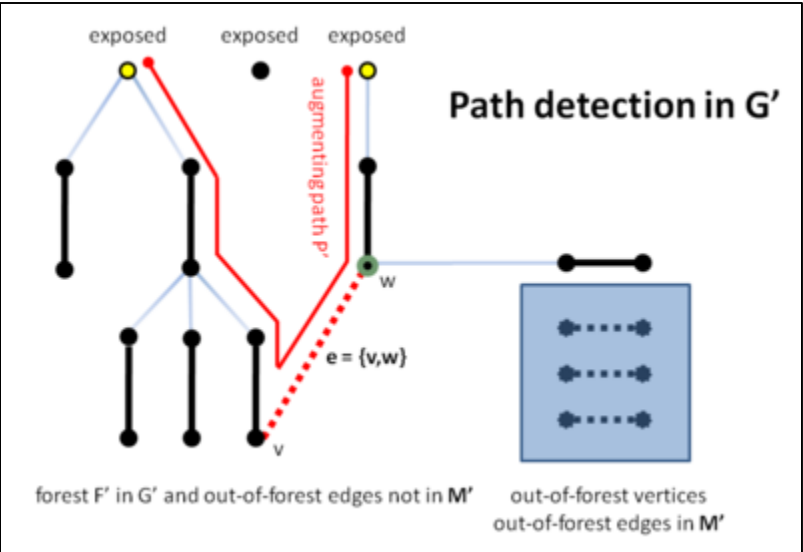
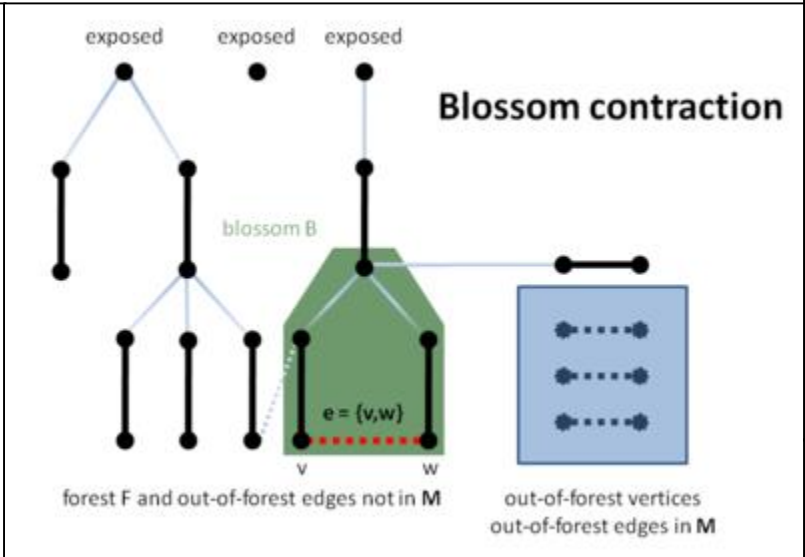
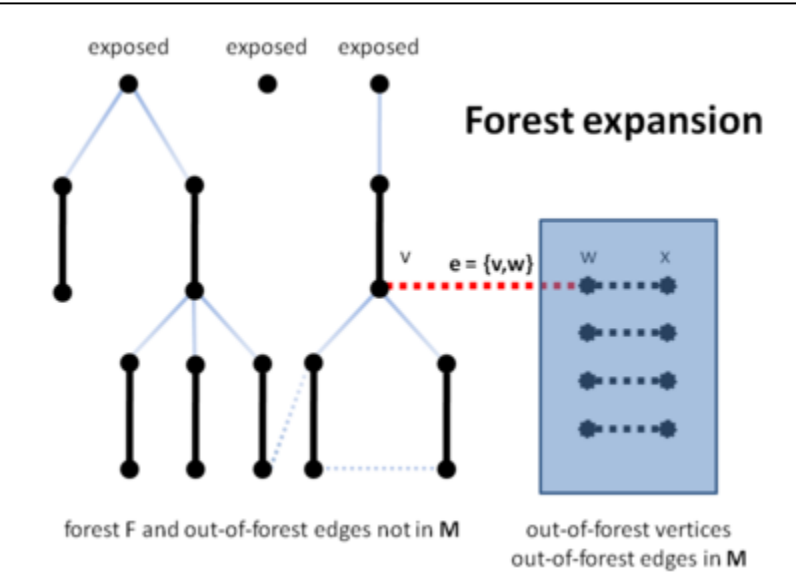
# Lifting



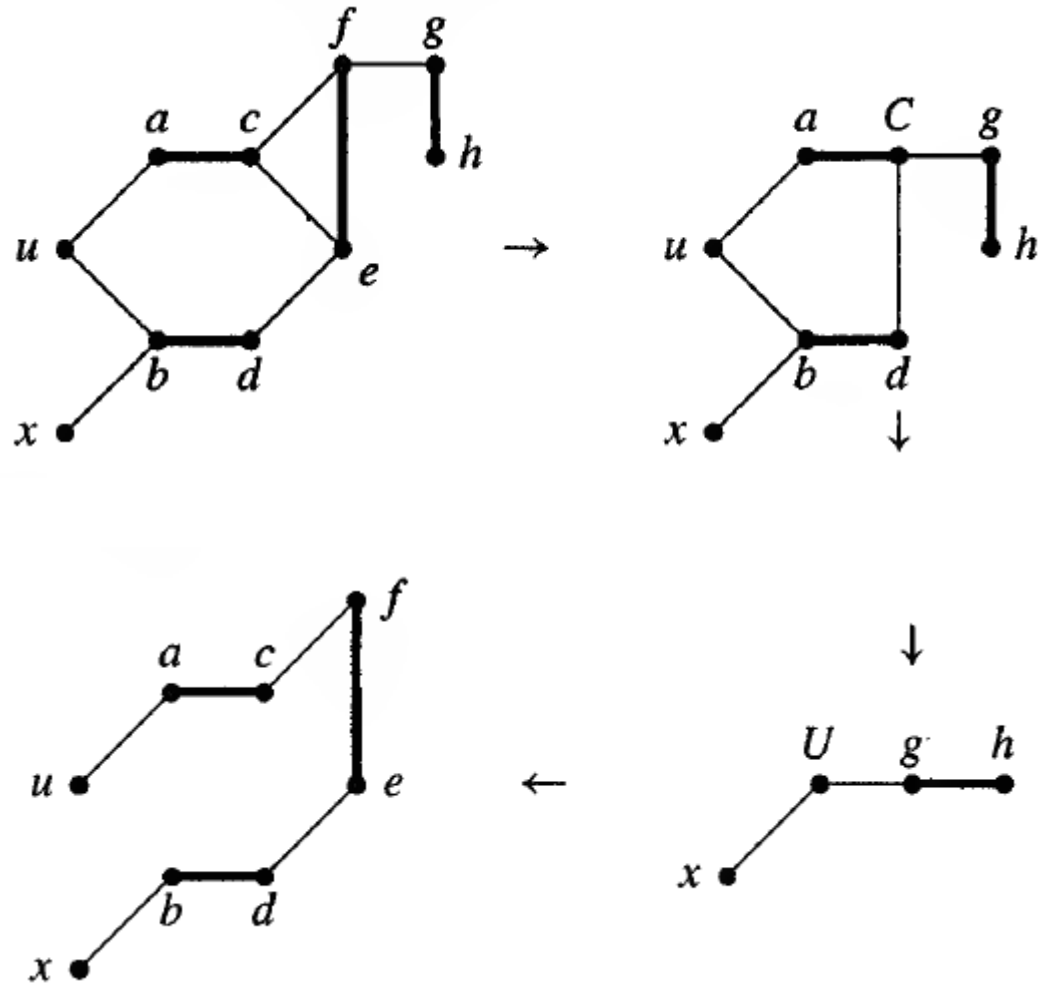
# Edmonds' blossom algorithm (3.3.17, W)

- **Input:** A graph  $G$ , a matching  $M$  in  $G$ , an  $M$ -unsaturated vertex  $u$
- **Idea:** Explore  $M$ -alternating paths from  $u$ , recording for each vertex the vertex from which it was reached, and **contracting blossoms** when found
  - Maintain sets  $S$  and  $T$  analogous to those in Augmenting Path Algorithm, with  $S$  consisting of  $u$  and the vertices reached along saturated edges
  - Reaching an unsaturated vertex yields an augmentation.
- **Initialization:**  $S = \{u\}$  and  $T = \emptyset$
- **Iteration:** If  $S$  has no unmarked vertex, stop; there is no  $M$ -augmenting path from  $u$ 
  - Otherwise, select an unmarked  $v \in S$ . To explore from  $v$ , successively consider each  $y \in N(v)$  s.t.  $y \notin T$ 
    - If  $y$  is unsaturated by  $M$ , then trace back from  $y$  (expanding blossoms as needed) to report an  $M$ -augmenting  $u, y$ -path
    - **If  $y \in S$ , then a blossom has been found. Suspend the exploration of  $v$  and contract the blossom**, replacing its vertices in  $S$  and  $T$  by a single new vertex in  $S$ . Continue the search from this vertex in the smaller graph.
    - Otherwise,  $y$  is matched to some  $w$  by  $M$ . Include  $y$  in  $T$  (reached from  $v$ ), and include  $w$  in  $S$  (reached from  $y$ )
  - After exploring all such neighbors of  $v$ , mark  $v$  and iterate

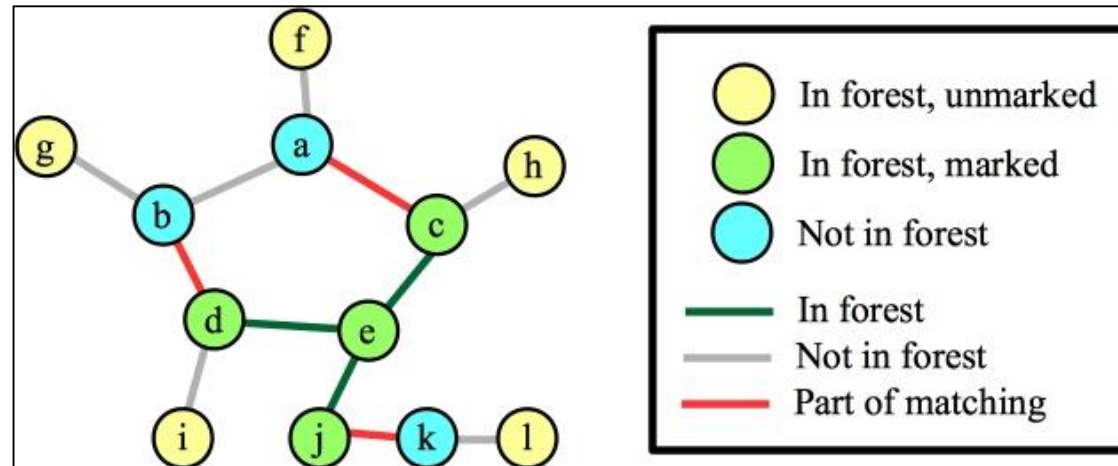
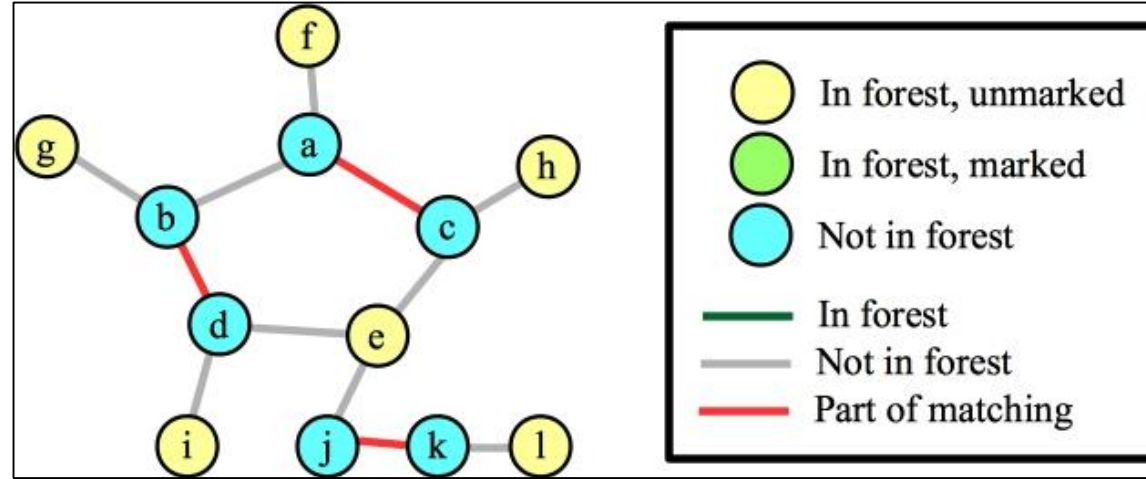
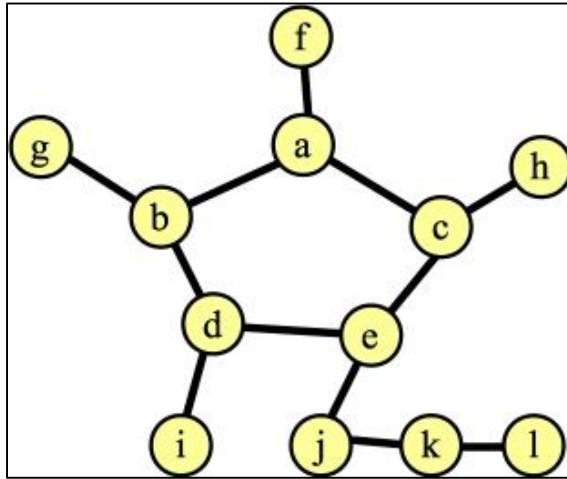
# Illustration



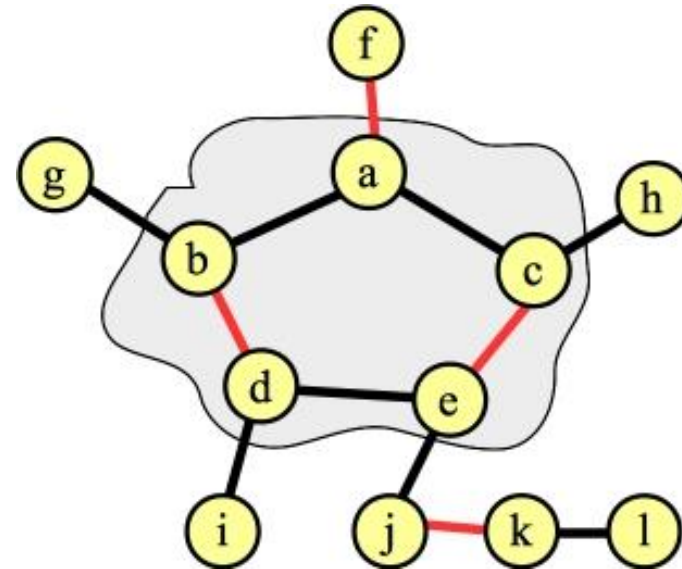
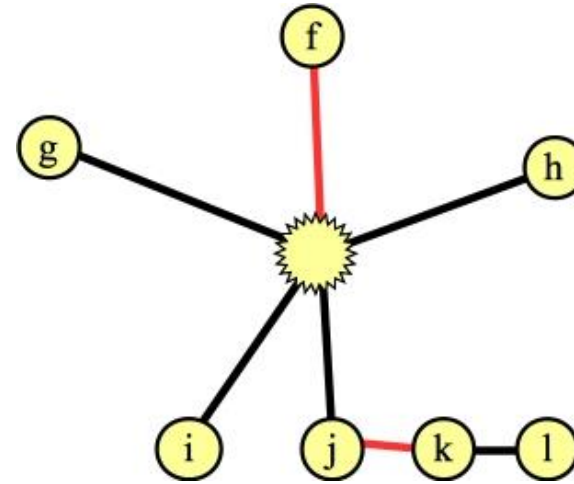
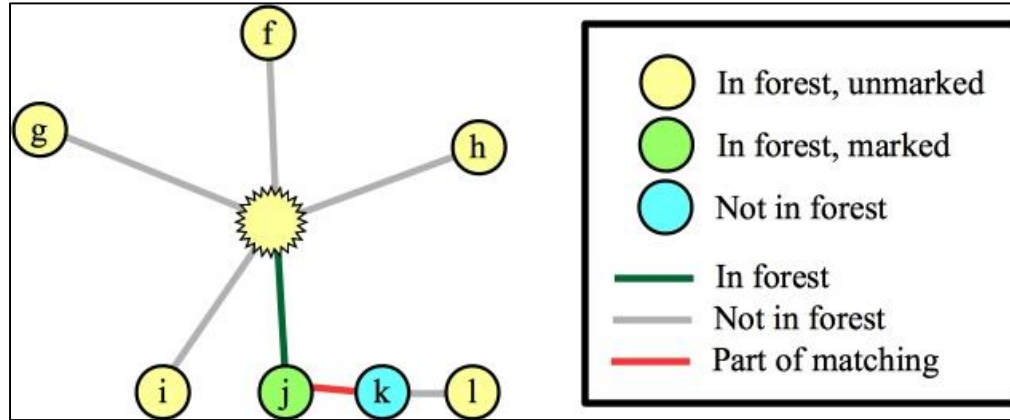
# Example



# Example 2



# Example 2 (cont.)





# Lecture 6: More on Connectivity

Shuai Li

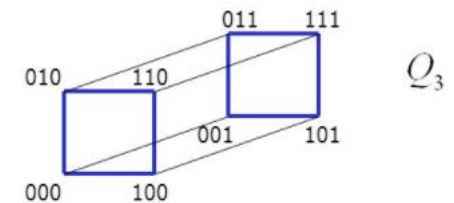
John Hopcroft Center, Shanghai Jiao Tong University

<https://shuaili8.github.io>

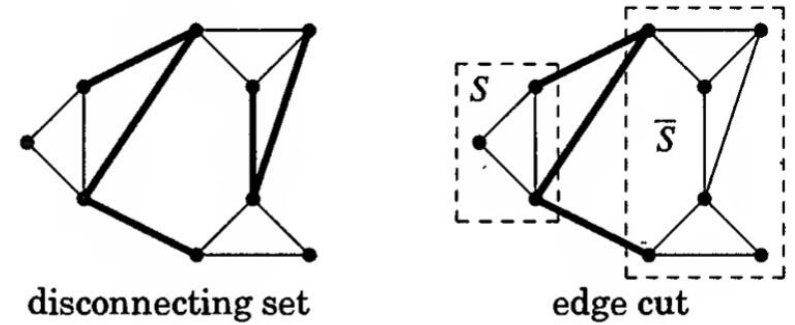
<https://shuaili8.github.io/Teaching/CS445/index.html>

# Vertex cut set and connectivity

- A proper subset  $S$  of vertices is a vertex cut set if the graph  $G - S$  is disconnected
- The connectivity,  $\kappa(G)$ , is the minimum size of a vertex set  $S$  of  $G$  such that  $G - S$  is disconnected or has only one vertex
  - The graph is  $k$ -connected if  $k \leq \kappa(G)$
- $\kappa(K_n) := n - 1$
- If  $G$  is disconnected,  $\kappa(G) = 0$ 
  - $\Rightarrow$  A graph is connected  $\Leftrightarrow \kappa(G) \geq 1$
- If  $G$  is connected, non-complete graph of order  $n$ , then
$$1 \leq \kappa(G) \leq n - 2$$
- For convention,  $\kappa(K_1) = 0$
- Example (4.1.3, W) For  $k$ -dimensional cube  $Q_k = \{0,1\}^k$ ,  $\kappa(Q_k) = k$



# Edge-connectivity



- A **disconnecting set** of edges is a set  $F \subseteq E(G)$  such that  $G - F$  has more than one component
  - A graph is  **$k$ -edge-connected** if every disconnecting set has at least  $k$  edges
  - The **edge-connectivity** of  $G$ , written  $\lambda(G)$ , is the minimum size of a disconnecting set
- Given  $S, T \subseteq V(G)$ , we write  $[S, T]$  for the set of edges having one endpoint in  $S$  and the other in  $T$ 
  - An **edge cut** is an edge set of the form  $[S, S^c]$  where  $S$  is a nonempty proper subset of  $V(G)$
- Every edge cut is a disconnecting set, but not vice versa
- Remark (4.1.8, W) Every minimal disconnecting set of edges is an edge cut

# Connectivity and edge-connectivity

- **Proposition** (1.4.2, D) If  $G$  is non-trivial, then  $\kappa(G) \leq \lambda(G) \leq \delta(G)$

- If  $\delta(G) \geq n - 2$ , then  $\kappa(G) = \delta(G)$

that is  $\kappa(G) = \lambda(G) = \delta(G)$

- **Theorem** (4.1.11, W) If  $G$  is a 3-regular graph, then  $\kappa(G) = \lambda(G)$

# Properties of edge cut

- When  $\lambda(G) < \delta(G)$ , a minimum edge cut cannot isolate a vertex
- Similarly for (any) edge cut

- Proposition (4.1.12, W) If  $S$  is a set of vertices in a graph  $G$ , then

$$|[S, S^c]| = \sum_{v \in S} d(v) - 2e(G[S])$$

- Corollary (4.1.13, W) If  $G$  is a simple graph and  $|[S, S^c]| < \delta(G)$ , then  $|S| > \delta(G)$ 
  - $|S|$  must be much larger than a single vertex

# Blocks

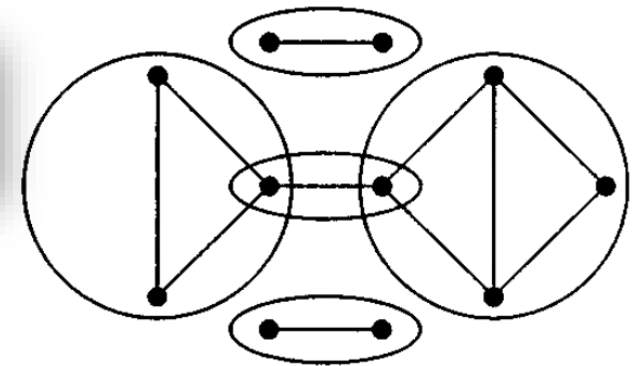
- A **block** of a graph  $G$  is a maximal connected subgraph of  $G$  that has no cut-vertex. If  $G$  itself is connected and has no cut-vertex, then  $G$  is a block

**Proposition** (1.2.14, W)

An edge  $e$  is a bridge  $\Leftrightarrow e$  lies on no cycle of  $G$

- Or equivalently, an edge  $e$  is not a bridge  $\Leftrightarrow e$  lies on a cycle of  $G$

- **Example**
- An edge of a cycle cannot itself be a block
  - An edge is block  $\Leftrightarrow$  it is a bridge
  - The blocks of a tree are its edges
- If a block has more than two vertices, then it is 2-connected
  - The blocks of a loopless graph are its isolated vertices, bridges, and its maximal 2-connected subgraphs

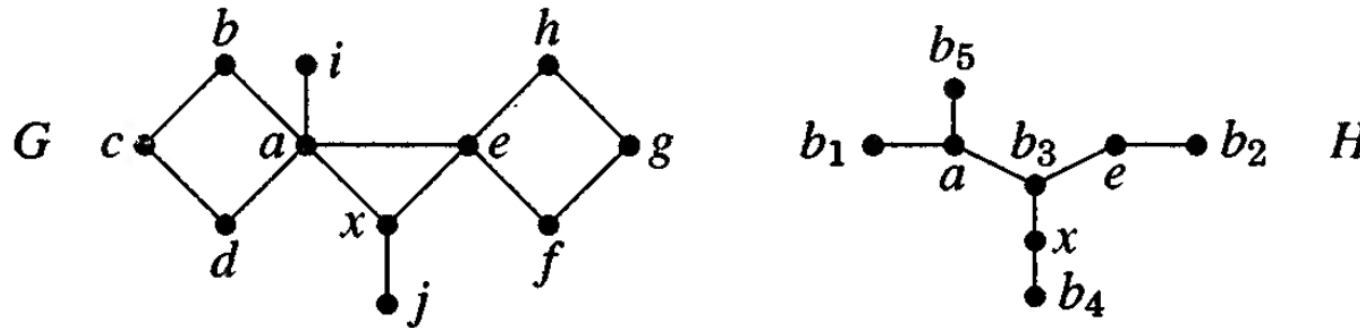


# Intersection of two blocks

- Proposition (4.1.19, W) Two blocks in a graph share at most one vertex
  - When two blocks share a vertex, it must be a cut-vertex
- Every edge is a subgraph with no cut-vertex and hence is in a block. Thus blocks in a graph decompose the edge set

# Block-cutpoint graph

- The block-cutpoint graph of a graph  $G$  is a bipartite graph  $H$  in which one partite set consists of the cut-vertices of  $G$ , and the other has a vertex  $b_i$  for each block  $B_i$  of  $G$ . We include  $vb_i$  as an edge of  $H \iff v \in B_i$ .



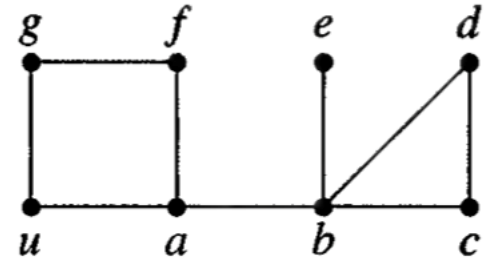
- (Ex34, S4.1, W) When  $G$  is connected, its block-cutpoint graph is a tree



# Depth-first search (DFS)

- Depth-first search

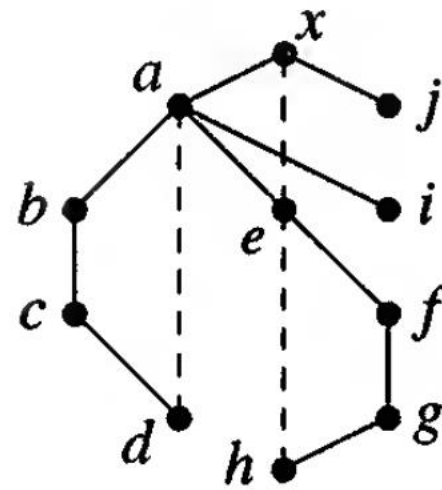
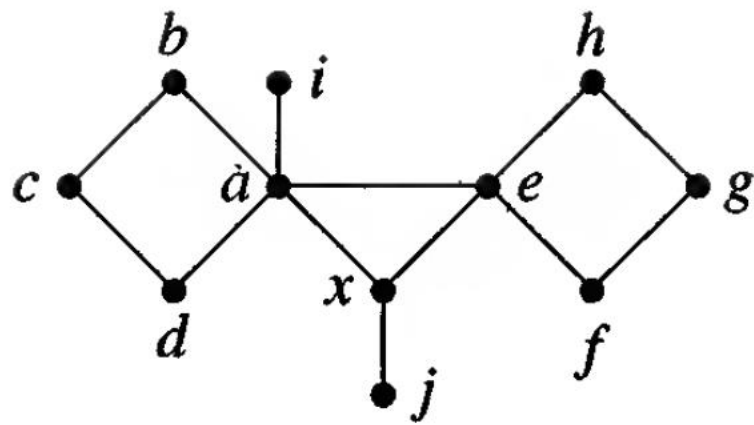
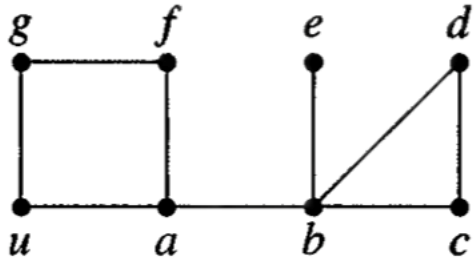
- Lemma (4.1.22, W) If  $T$  is a spanning tree of a connected graph grown by DFS from  $u$ , then every edge of  $G$  not in  $T$  consists of two vertices  $v, w$  such that  $v$  lies on the  $u, w$ -path in  $T$



# Finding blocks by DFS

- **Input:** A connected graph  $G$
- **Idea:** Build a DFS tree  $T$  of  $G$ , discarding portions of  $T$  as blocks are identified. Maintain one vertex called ACTIVE
- **Initialization:** Pick a root  $x \in V(H)$ ; make  $x$  ACTIVE; set  $T = \{x\}$
- **Iteration:** Let  $v$  denote the current active vertex
  - If  $v$  has an unexplored incident edge  $vw$ , then
    - If  $w \notin V(T)$ , then add  $vw$  to  $T$ , mark  $vw$  explored, make  $w$  ACTIVE
    - If  $w \in V(T)$ , then  $w$  is an ancestor of  $v$ ; mark  $vw$  explored
  - If  $v$  has no more unexplored incident edges, then
    - If  $v \neq x$  and  $w$  is a parent of  $v$ , make  $w$  ACTIVE. If no vertex in the current subtree  $T'$  rooted at  $v$  has an explored edge to an ancestor above  $w$ , then  $V(T') \cup \{w\}$  is the vertex set of a block; record this information and delete  $V(T')$
    - if  $v = x$ , terminate

# Example



# Strong orientation

- Theorem (2.5, L; 4.2.14, W; Robbins 1939) A graph has a strong orientation, i.e. an orientation that is a strongly connected digraph  $\Leftrightarrow$  it is 2-edge-connected
  - A directed graph is **strongly connected** if for every pair of vertices  $(v, w)$ , there is a directed path from  $v$  to  $w$
  - Proposition (2.4, L) Let  $xy \in T$  which is not a bridge in  $G$  and  $x$  is a parent of  $y$ . Then there exists an edge in  $G$  but not in  $T$  joining some descendant  $a$  of  $y$  and some ancestor  $b$  of  $x$

• The blocks of a loopless graph are its isolated vertices, bridges, and its maximal 2-connected subgraphs

**Lemma** (4.1.22, W) If  $T$  is a spanning tree of a connected graph grown by DFS from  $u$ , then every edge of  $G$  not in  $T$  consists of two vertices  $v, w$  such that  $v$  lies on the  $u, w$ -path in  $T$